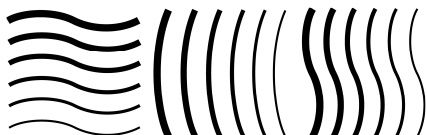


Code of Practice for the Quality Assurance and Control for Intensity Modulated Radiotherapy

NEDERLANDSE COMMISSIE VOOR STRALINGSDOSIMETRIE

Report 22 of the Netherlands Commission on Radiation Dosimetry

June 2013



Netherlands Commission on Radiation Dosimetry
Subcommittee "IMRT QA",
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Preface

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Code of Practice for the Quality Assurance and Control for Intensity Modulated Radiotherapy

June 2013

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Summary

In September 2009, the NCS set up a new subcommittee to devise guidelines for quality assurance (QA) for Intensity Modulated Radiotherapy (IMRT) treatments. Although these guidelines are primarily focused on IMRT treatments in Belgian and Dutch clinics, other institutes should also be able to benefit from the report.

We chose to base our work on the foundations laid in previous NCS reports (1–3) and focused ourselves in the report on the more stringent demands on commissioning, treatment planning and QA for IMRT. IMRT treatments pose stricter requirements on both geometric and dosimetric accuracy of the linear accelerator compared to more conventional treatments.

The report starts with an overview of reports on the accuracy of IMRT treatments in Europe and the United States of America, demonstrating the value of proper IMRT QA protocols. The second chapter deals with the IMRT-specific demands on the linear accelerator and the commissioning of such a system before clinical use. In that chapter general requirements and proposals are given measuring devices, methods and frequencies for commissioning and regular QA. Special attention is given to small-field dosimetry and mechanical and dosimetric optimization and characterization of the Multi-Leaf Collimator (MLC) system. Chapter three considers the possibilities and demands to ensure optimal correspondence between predicted dose distributions by the planning systems and actually delivered dose distributions by the linear accelerator. In particular, we address leaf tip modelling, interleaf leakage and leaf transmission for either sliding window or step-and-shoot IMRT techniques and tongue and groove effects for step-and-shoot IMRT. In chapter four, recommendations are given to perform patient-specific IMRT QA, both pre-treatment and in-vivo dosimetry. We classify different measuring devices regarding their dosimetric accuracy and/or spatial resolution and present recommendations on how to organize patient specific QA using different classes of measurement. For both new and experienced users, ways to develop and test new class solutions are presented, enabling a safe way to start using them.

Finally, in chapter five we present a way to set up a risk analysis for IMRT. We briefly touch upon some law and regulations concerning risk analysis and some available methods to perform such an analysis. We discuss the SAFER method in more detail since we consider it to be the most practical method for our purposes. Several examples are given in the document and in the supplementary Excel spread sheet.

These should be taken as a starting point for a risk analysis and not be considered as an exhaustive analysis.

Although the subcommittee has made every effort to be as complete as possible, the user is strongly recommended to apply and modify our recommendations to the local situation. This document should help setting up a proper QA system for the safe and proper implementation of IMRT in clinical practice but may not be tailored for all types of equipment and/or institutes.

Abbreviations

AAA	Anisotropic Analytic Algorithm
AAPM	American Association of Physicists in Medicine
aSi	Amorphous Silicon
ASTRO	American Society for Radiation Oncology
CAX	Central Axis
CCC	Collapsed Cone Convolution
CCW	Counter Clock Wise
CT	Computed Tomography
CW	Clock Wise
CWG	Collaborative Work Group
DICOM	Digital Imaging and Communications in Medicine
DLG	Dosimetric Leaf Gap
DLS	Dosimetric Leaf Separation
DTA	Distance to Agreement
DVH	Dose Volume Histogram
EBT	External Beam Treatment
EMR	Electronic Medical Record
EPID	Electronic Portal Imaging Device
ESTRO	European Society for Radiotherapy and Oncology
EUD	Equivalent Uniform Dose
EURATOM	The European Atomic Energy Community
FWHM	Full Width Half Maximum
GORTEC	Groupe Oncologie Radiothérapie Tête et Cou
HAZOP	Hazard and Operability
HFMEA	Healthcare Failure Mode Effect Analysis
HKZ	Harmonisatie Kwaliteitsbeoordeling in de Zorgsector
IAEA	International Atomic Energy Agency
ICRP	International Commission on Radiological Protection
IEC	International Electro technical Commission
IMRT	Intensity-Modulated Radiation Therapy

IPEM	Institute of Physics and Engineering in Medicine
JCAHO	The Joint Commission on Accreditation of Healthcare Organizations
MC	Monte Carlo
MLC	Multi-Leaf Collimator
MOSFET	Metal-Oxide-Semiconductor Field-Effect Transistor
MSF	Modulation Scaling Factor
MU	Monitor Unit
NCRP	National Council on Radiation Protection & Measurements
NEN	Nederlandse Norm
NIAZ	Nederlands Instituut voor Accreditatie in de Zorg
NMED	Nuclear Medical Events Database
NRC	National Research Counsel
NTA	Nederlandse technische afspraak
NVKF	Nederlandse Vereniging voor Klinische Fysica
OAR	Organ at Risk
OEIC	Organisation of European Cancer Institutes
OF	Output Factor
PDD	Percentage Depth Dose
PRISMA-RT	Prevention, Recovery and Information System for Monitoring and Analyses in RadioTherapy
PTV	Planning Target Volume
QA	Quality Assurance (set of policies and procedures to maintain the quality of patient care)
QC	Quality Control (set of procedures/tests to validate equipment is operating within tolerance)
R&V	Record & Verify
ROSIS	Radiation Oncology Safety Information System
RPC-RTOG	Radiological Physics Center - Radiation Oncology Group
RT	Radiotherapy
RTT	Radiotherapy Technologist

SAFER	Scenario Analyse van Faalwijzen Effecten en Risico's
Sc	Head Scatter Factor
Scp	Total Scatter Factor
SIL	Safety Integrity Level
SIRE	Systematic Incident Reconstruction and Evaluation
SS	Step and Shoot
SSD	Source Surface Distance
SW	Sliding Window
TECDOC	Technical Documents
TG	Task Group (of the American Association of Physicists in Medicine)
TJC	The Joint Commission
TLD	Thermo luminescent Dosimeter
TPS	Treatment Planning System
VMAT	Volumetric Modulated Arc Therapy
VMS	Veiligheids Management Systeem
WHO	World Health Organisation

1. Introduction

Intensity Modulated RadioTherapy (IMRT) has become widely clinically available since the beginning of this millennium and is nowadays in routine clinical practice in almost all radiotherapy institutes in the Netherlands and Belgium. There are multiple technological concepts that are commercially available enabling IMRT treatment delivery that are quite different from each other: i) MLC-based systems using a conventional linear accelerator (using either static or dynamic techniques), ii) a tomographic system which is based on a helical slice-wise delivery technique and iii) robotic systems that use many small beam segments. Recently, also volumetric modulated arc therapy (VMAT) has become available which uses an arc delivery technique with variable leaf-positions, gantry speed and dose rate. This report will be restricted to MLC based systems using fixed beam angle delivery. Quality assurance for VMAT is the subject of a separate NCS-report, which can be considered as an extension of the current report on IMRT quality assurance.

IMRT is a complex technique that imposes high demands on the delivery system, the treatment planning system and the users of these systems. These demands are not always met in practice since there is evidence that IMRT treatments may not always be as accurate as practitioners believe. Over the last years (4), two different groups reported this assumption through dosimetry audits in IMRT treatments based on head and neck phantom irradiations in the United States of America (5,6) and Europe (7–9). Approximately 1/3 of the contributing institutions did not meet the accuracy criteria (see table 1.1), even though the dose tolerance criteria were not set very strictly. This result appears astonishing especially when these institutions were feeling confident enough in their IMRT planning and delivery process expecting to pass. This experience strongly suggests that some clinics have not adequately implemented the quality assurance of their planning and delivery systems for IMRT.

Table 1.1: ESTRO booklet 9 Guidelines for the verification of IMRT, Table 7.3: Results from studies of the accuracy of dose determinations of IMRT treatments

Reference	Region	Average	SD (%)
Gillis et al., 2005 (10)	Europe	1.014	1.6
ESTRO-QUASIMODO		0.997	3.6
Tomsej et al., 2005 (9)	Europe	0.992	3.9
GORTEC			

Ibbott et al., 2006 (5,11)	US	0.99	8
RPC-RTOG		0.99	7
Tomsej et al., 2007 (8)	Europe	0.966	2.4
ESTRO-OECI		0.978	1.5

It is therefore of paramount concern that with the introduction of IMRT in a department, quality of IMRT treatments is assessed and maintained over time.

A key role for assuring that the accuracy of IMRT meets clinical requirements, lies in proper acceptance testing and commissioning of the IMRT systems. This involves not only the treatment delivery systems but should also involve the treatment planning system. Performing these tests, it is important to choose appropriate equipment and measurement methods to fit the complex nature of IMRT consisting of many small field segments. There are many measurement devices commercially available that are especially tailored for IMRT quality assurance. If deemed necessary, recommendations on measurement equipment that are of importance will be discussed.

To maintain the quality of IMRT as assessed during commissioning requires the implementation of a dedicated quality assurance system with specific quality control procedures for IMRT. Due to the complex nature of IMRT treatment planning and delivery, additional tests to the set of tests that are described for conventional therapy (see NCS report nr 8 and 15 (1,3)) are necessary. Not only are extra tests necessary, also tolerance values will often be much stricter for IMRT. In this report additional issues over and above the 'conventional' checks (1,3) necessary for IMRT will be discussed. Chapter 2 will describe the NCS recommendations for acceptance testing, commissioning and quality control in IMRT. These are integrated into one chapter because these procedures are strongly related to each other and consequently many of the tests are identical. A separate chapter (Chapter 3) is devoted to the commissioning of the treatment planning system for IMRT.

IMRT is often implemented such that for specific clinical indications class solutions are developed that limit the variability between different IMRT plans within such a class solution. However, due to the large variability in patient anatomy, tumour morphology, and position of organs at risk relative to the tumour there will also be large variability in IMRT solutions even within a particular class solution. Also in the process of treatment planning there will be adjustments to the constraints that are set

for tumour coverage and organs-at-risk dose restrictions during the optimization. This will also lead to variability in the characteristics of an IMRT plan between different patient-specific treatment plans. For this reason, quality assurance on an individual patient level is also required. How this is performed and how often will depend on the experience a certain department has with IMRT as well as the complexity of the IMRT plans. In chapter 4 the NCS provides with recommendations on how to perform patient specific quality assurance.

Managing quality in radiation oncology, and in particular in IMRT treatments, involves much more than just focusing on technological issues. It involves the description and subsequent analysis of the entire process of delivering an IMRT treatment. As with any newly introduced technique or procedure it is advised to perform a prospective risk analysis of IMRT delivery. From such an analysis, all measures to be taken in order to guarantee safe and accurate delivery of IMRT treatments should follow. These will not only involve technological issues, but also the organization of the process and the training and maintenance of the personnel that is involved in IMRT treatments. In chapter 5 the NCS will describe a method for performing such a prospective risk analysis.

The tests and methods described in this report are all published in the literature. They are developed considering the system that delivers IMRT as a black box. Depending on the experience and knowledge of the users of the systems it might very well be that certain tests are considered to be redundant or the frequency at which they are performed might be considered to be too high or too low. Also instead of using the methods as described in this report one can use one's own methods for testing. If one determines to deviate from the recommendations, it is advised to support this by performing a short risk analysis in which it is described and documented why one deviates from the recommendations. If one uses an alternative method for certain tests it is important that this method is properly validated and adequately documented. The purpose of this report is a code of practice that serves as a guideline to setup an appropriate system of quality assurance for IMRT. The tests and methods should be adapted to the complexity of the intended IMRT treatment. Depending on complexity and comprehensiveness, additional resources (time, manpower, equipment) might be necessary for the implementation of IMRT.

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Responsibility on how the NCS recommendations are implemented lies with the user, taking into account the practice in his/her institution.

2. Linear accelerator Quality Assurance for IMRT: Acceptance, Commissioning and Quality Control.

2.1. Introduction

MLC-based intensity modulated radiotherapy (IMRT) is the result of a highly complex automated process of delivering dose to the patient. While linear accelerator and computer technology will inevitably proceed in the direction of self-checking and IMRT automation, quality assurance (QA) is still a vital component to ensure that the patient is treated accurately.

Quality of IMRT treatments begins with the choice and purchase of the equipment (linear accelerator, treatment planning system, electronic medical record and verification system). During the process of acquisition, considerable thought should be given regarding requirements and specifications, depending on its intended use. Tolerances should be chosen depending on the complexity of the IMRT technique. Although design tolerances or standard specifications as given by the manufacturer have to be considered seriously, the basic assumption should be that criteria are driven by and satisfy (future) clinical use (12). As a consequence it is not unusual to ask for additional and more stringent demands, these (additional) requirements should be explicitly requested as part of the contract of sale.

For conventional 3D conformal radiotherapy, it is recommended to set up QA procedures according to NCS report 8 (3). However, it is acknowledged that compared to conventional 3D conformal radiotherapy specific recommendations – new tests and tolerances, especially for the MLC – are needed when performing IMRT (13). For instance, to achieve sufficient geometric and dosimetric accuracy, each of the many subsystems involved in the IMRT delivery chain has to comply to very strict tolerance values because all errors contribute to the total uncertainty. This NCS report wants to emphasize this, but at the same time recognizes the difficulty of translating (deviations from) mechanical and dosimetric tolerances into clinical consequences. Investigation in this area, e.g. the clinical significance of MLC positional errors (14–16) is sparse and more research is required. Therefore, tolerances given in this chapter are derived from what is currently achievable with the modern radiotherapy equipment or estimated from dosimetric consequences rather than from clinical indicators.

In this chapter, the acceptance, commissioning and quality control aspects of the crucial components in the IMRT delivery chain are addressed. The tests

recommended by NCS are summarized in Table 1 in paragraph 2.3, whereas a more detailed description of the tests is given in paragraph 2.2.

2.1.1. Acceptance

Before using a linear accelerator clinically, performing acceptance tests and quality control is a statutory requirement in The Netherlands (*Besluit stralingsbescherming*, 2013 art.10, part 2; commentary art. 67): “The owner takes care that a new or changed *source* (such as a linear accelerator) will not come into use before conducting an acceptance test by a qualified expert (e.g. a radiation protection officer) and meets with his approval.” But also if it concerns a change of technique (i.e. change in use) rather than introducing new equipment, an acceptance test is highly recommended by the NCS.

The framework of linear accelerator acceptance is described in several international reports (18,19,13) and is characterized by:

- 1) the safe use of equipment from a radiation protection point of view
- 2) the demonstration that functional linear accelerator performance meets the criteria defined beforehand as part of the contract
- 3) recording baseline values for future QC

As acceptance results in general can (and will) be used as baseline values for future QC, the requirements may be more stringent than employed during on-going linear accelerator quality control.

During the acceptance phase, focus will be on the technical and dosimetric aspects of the dose delivery but also safety checks like the handling of beam interruptions by the verification and control system are addressed.

2.1.2. Commissioning

Commissioning of IMRT is defined as the initial acquisition and documentation of all necessary dosimetric and mechanical data to enable clinical use, including a verification step with phantom studies (20,10). This verification step shows that IMRT treatments can be planned, transferred, and delivered with sufficient accuracy. Note that this is different from per-patient phantom measurements for QA purposes. Here we focus on the commissioning of machine performance specific for IMRT whereas the validation of clinical treatment delivery is dealt with in chapter 4.

Obviously, some tests will be done during the acceptance phase of the linear accelerator (MLC transmission, etc.), but they may have to be repeated, extended and/or made more precise during commissioning.

Also additional tests and measurements may be performed that are not part of acceptance protocols, like the acquisition of small field beam data and the accurate determination of the dosimetric properties of the MLC (needed for TPS modelling, chapter 3).

2.1.3. Quality Control

To validate accurate IMRT dose delivery the stability of the system with respect to the baseline data acquired during acceptance and commissioning has to be checked on a regular basis. The test frequency with respect to baseline data depends on the expected stability of the system's components. The NCS will recommend minimum frequencies. However, the frequency of IMRT specific checks can be adapted over time based on the observed stability of the specific machine parameters.

2.2. General remarks regarding measurements

Stringent demands on tolerances for IMRT are also reflected on the measurement equipment used. It is important to stress the fact that measurement equipment should be able to perform (in terms of uncertainties, repeatability, and precision) within the tolerance or action level of the parameter to be measured. AAPM TG142 (13) states “that the measurement system and procedure repeatability be such that two standard deviations for three or more repeated consecutive measurements are less than the tolerance value”. This statement indicates the need of quality control on measurement tools and equipment. One should familiarize oneself with the suitability of its use (in terms of possibilities as well as limitations). For example, a functional acceptance test of the water phantom might be performed in order to assess the (mechanical) tolerances of the phantom. Simple characteristics as geometrical accuracy, hysteresis and reproducibility determine largely if the phantom can or cannot be used for IMRT acceptance and commissioning. Besides investigating mechanical issues of the water phantom, try to determine (estimate) the influence of detector characteristics (in terms signal-to-noise and leakage, effective point of measurement, stability and reproducibility) on the measurement uncertainty.

Small field dosimetry requires special attention and a careful selection of the measurement equipment and conditions is of major concern. For example, deviation of the scanning device in depth from the real central axis of the beam might result in a wrong percentage depth dose curve. A large set of specialised studies is available in literature dealing with this critical topic (21–26).

The different dosimetric characteristics of different detectors should be balanced against the parameters needed for IMRT modelling. An ideal detector should have:

- an excellent spatial resolution (small volume)
- dose response which is independent of energy, dose and dose rate
- excellent stability, linearity and reproducibility

No commercially available detector (status at January 2013) fulfils all these criteria. Therefore, the NCS recommends measuring by selecting detectors with complementary characteristics and measure several overlapping field sizes. By performing cross checking reliable dosimetry can be performed (26,27).

2.3. *NCS Recommendations of Tests during Acceptance, Commissioning and QC*

2.3.1. *Overview of tests*

In this section an overview will be given of tests that are advised in each phase of the Quality Assurance programme for the linear accelerator (table 2.2) during acceptance and/or commissioning and quality control. The last column gives the advised frequencies for quality control of the performance of the machine as determined and documented during acceptance testing and commissioning. As stated before, one may deviate from this frequency depending on experience gained on linac stability, also related to type and age of the equipment.

Table 2.1: Overview of recommended tests for acceptance, commissioning and quality control

Procedure group	Procedure detail	Description	Delivery technique	Acceptance	Commissioning	QC Frequency
Isocentre	Winston Lutz test, MLC star shot	2.4.1.1, page 21	SS, SW	X		Annually
MLC	Leaf / jaw position accuracy (calibration)	2.4.1.2, , test A, page 23	SS, SW	X	X	Monthly
	Leaf / jaw position accuracy (Garden/Picket Fence)	2.4.1.2, test A, page 23,	SS, SW	X		Monthly
	Leaf / jaw position reproducibility	2.4.1.2, test B, page 24	SS, SW	X		Annually
	Dosimetric leaf separation	2.4.1.2, test C, page 24	SW	X	X	After corrective maintenance
	Leaf gap stability	2.4.1.2, test D, page 24	SW	X		Quarterly
	Leaf speed stability	2.4.1.2, test E, page 24	SW	X		Quarterly
	Gantry angle dependence	2.4.1.2, , test F, page 24	SS, SW	X		Annually
Dosimetry	MLC transmission	2.4.2.1, page 25	SS, SW	X	(X)	
	Dose monitor: reproducibility	2.4.2.2, test A, page 26	SS	X		After corrective maintenance
	Dose monitor: proportionality	2.4.2.2, test B, page 27	SS, SW	X		After corrective maintenance
	Dose monitor: beam profile stability (at start up)	2.4.2.2, test B, page 27	SW			After corrective maintenance
	Dose monitor: beam profile stability (at start up)	2.4.2.2, test C, page 27	SS	X		Annually & After corrective maintenance
	Radiation safety	2.4.3, page 28	SS, SW	X		
	Small field beam data	2.4.4 page 29	SS, SW		X	
	Beam interruptions	2.4.5 page 31	SS, SW		X	

2.4. Description of performance tests for IMRT

In this section, a detailed description of tests that are considered to be of importance for the performance of linear accelerators with respect to MLC based IMRT is discussed.

2.4.1. Mechanical tests

This paragraph contains the description of mechanical tests considered to be of importance for IMRT treatment quality assurance.

2.4.1.1. Mechanical machine alignment

- *Goal*

To ensure that mechanical axes of rotation (collimator, gantry and table) are well established as a basis for a geometric accurate treatment.

- *Background*

When implementing IMRT, special attention should be paid to the leaf calibration and the alignment of the collimators with the source (IPEM report 103 (24)) to ensure proper alignment of IMRT segments at multiple gantry angles and collimator rotations. For a calibration at sub-millimetre level it is advised to minimize the mechanical isocentre walkout before starting measurements for IMRT, especially where small field are involved.

- *Suggested tests*

Several tests are described in the literature (e.g. Winston Lutz test, starshots or spoke films) of which some also include the radiation isocentre accuracy (28,29). Determine the walkout of the optical crosswire projection relative to a fixed point (e.g. pointer, marked crosswire or laser) as a function of collimator, gantry and table rotation (table: e.g. Karger (30)). Normally mechanical adjustment of the gantry arm, collimator and table are only performed during installation.

- *Recommended tolerances for the mechanical isocentric accuracy*

As a baseline, with full rotation of the radiation head, the locus of the collimator rotation axis should be contained within a 0.5 mm diameter circle at 100 cm. (Current NCS-8 recommendation is 1 mm at isocentre level (3))

The sphere containing the gantry isocentre (defined by the projected crosswires at all gantry angles) is in general a bit larger due to sagging of the gantry and should lie preferably within a 1.0 mm diameter circle, but at worst within a 1.5 mm diameter circle. (Current NCS-8 recommendation is 2 mm).

Concerning the couch isocentre, the locus of the projected crosswires (while turning the couch from 90° to 270°) should be contained within a semi-circle of 1.0 mm in diameter (isocentric height). (Current NCS-8 recommendation is 2 mm at isocentre level). It should be noted however, that use of couch rotations with IMRT is usually limited.

All three axes (gantry, collimator and couch) should coincide preferably within a 1.5 mm sphere but at least within 2 mm.

2.4.1.2. *Leaf and jaw positioning*

- *Goal*

To ensure that absolute leaf and collimating jaw positioning errors are within tolerance.

- *Background*

The conventional use of the MLC concerns the aperture shaping of single fields. IMRT on the other hand is characterized by the use of many small segments (*step and shoot*) or leaf gaps (*dynamic delivery*), in which case incorrect positions of leaves may have a large impact on relative as well as on absolute dose within the target as well as the OARs. Therefore, there is a need for tighter tolerances regarding the positioning of the leaves. Since many MLC systems were originally not designed to deal with IMRT, attention should be given to certain MLC-characteristics in view of the wider range of leaf positions used, dealing with over-travel and field abutments (31,32,19,33).

Mechanical components like jaws, MLC leaf banks and MLC leaves are always designed with a little backlash in order to facilitate friction free motion. Leaf motion (dynamic) or position (step and shoot) may be affected by gravitational effects at gantry angles different from 0°. MLC leaves can run with a maximum leaf speed which may decrease due to wear of mechanical components or build-up of dirt. For segmental IMRT these issues will lead to increase of delivery time.

For sliding window treatments reduction of leaf speed will lead to an increase of beam-holds and may also compromise dose delivery accuracy.

Both accuracy (the absolute leaf position) and precision (how well can the MLC reproduce a leaf position) need to be investigated. Tests might be vendor specific (e.g. Varian: LoSasso, 2008 (34); Elekta: Liu et al. 2008 (35); Siemens: Bayouth, 2008 (36)).

- *Suggested tests*

- A Leaf and jaw position calibration & verification*

The method for the absolute calibration of leaf banks and collimating jaws (including over-travel positions) should be sensitive to detect deviations from a desired value in relation to its tolerance level. Methods depend on the MLC model (positioning based on a camera- or encoder system) and IMRT technique used (step and shoot, dynamic). Some of these methods might be provided by the vendor, see the vendor technical documentation for details.

Although film dosimetry and EPID are used for verification and routine QC, it is advised to use a scanning motorized water phantom (tested for position accuracy and hysteresis, AAPM TG 106, 2008 (37)) with a small detector (e.g. diode, diamond) to validate the method for assessment of leaf-positioning.

A useful method to check whether leaf positioning errors as a function of MU are within tolerance limits is the *Garden Fence* test (38,34,39). The Garden Fence consists of a leaf motion file (containing leaf-positions as a function of MU's) with small leaf gaps at multiple predefined positions. Positions and gaps are identical for all leaf pairs. A visual check of the resulting dose on film can detect leaf positioning errors of 0.5 mm (40). Also EPID can be used (41). If a motor encoder shows loss of counts, this will be reflected in leaf positioning errors. Also a test consisting of adjacent segments (also known as Picket Fence) comparable to LoSasso's Garden Fence has been proposed (42–44). Visual analysis of these fence tests has to be performed with a high resolution detection method such as film or portal imaging.

To obtain a quantitative measurement of deviations, a method using a (1D) diode array has been proposed (35). The same can be done with an (2D) ion chamber array, where the chambers' response can be used as an indicator of leaf position accuracy (45,46).

B Leaf and jaw position reproducibility

Next to accuracy, the leaf position (and collimating jaw) reproducibility should be measured. This can be done by repeated measurements in which leaves or collimators are moved from an inner to outer position or vice versa to reach a certain position ($n \geq 3$ for both situations). Note: if for instance a water phantom is used, use the same scan direction at all times or correct for hysteresis of the scanning device.

C Dosimetric leaf separation (for SW only)

The dosimetric leaf separation (DLS) reflects the widening of the radiation field compared to the light field due to the rounded MLC leaf edges and is used to model the extra transmission through the rounded leaf ends of the Varian MLC. The dosimetric leaf separation or dosimetric leaf gap can be determined by the integral dose method using sweeping gaps of various widths (47–49). A tolerance of 0.1 mm is advised.

D Dosimetric Leaf gap stability test (for SW only)

Mechanical tolerances as well as the wear and tear may cause variations in gap width, compromising dosimetric accuracy. Dosimetric leaf gap stability can be assessed by measuring the dose from a narrow sliding window that creates a uniform dynamic field and using a single dosimeter with sufficient build-up, but preferably using an array of dosimeters or an EPID (38,47,50). The reading is normalised to the signal of a static 10x10cm² field.

E Leaf speed stability test

A method testing leaf speed stability uses the above test (D). Using different values for the total number of MU and dose rate, leaf speeds ranging from low to maximum speed can be tested (38). Issues with leaf speed can also be monitored in the log files or are indicated by excessive beam holds. Leaf acceleration and deceleration have a negligible effect on the delivered intensity profiles (25,26).

F Gantry angle dependence

The tests as described in A to D can be repeated for different gantry angles (leaf movement parallel to gravitation force direction).

- *Recommended tolerances for individual leaf (bank) and collimator positioning:*

Tolerances for mechanical precision are specified in accuracy (absolute positioning) and precision (reproducibility of positioning). For the above tests A, B and C the following tolerance values are advised:

Accuracy: minimum requirement < 1mm; desired requirement: <0.5mm

Precision: minimum requirement < 0.5 mm; desired requirement: <0.2 mm

Note: The stated or numerical field edge indication is defined at the plane normal to the beam axis at normal treatment distance (i.e. 100 cm). The measured field edge is given by the 50% absorbed dose. NCS 8 stated a tolerated deviation of 1 mm for leaf / jaw positions up to 10 cm and 1% above. The transition to percentages for larger field edges like NCS 8 and IEC propose, would lead to 'accepted' differences larger than 1 mm. This is however untenable in the IMRT era. Since off axis field abutments are involved, this might lead to undesirable under or over dosage at the field junction.

For test D and E a 2% deviation between the expected dose value and the values measured is tolerated.

2.4.2. Dosimetric tests

2.4.2.1. MLC Transmission

- *Goal*

To ensure that the interleaf leakage and leaf transmission for a particular MLC is not exceeding limits indicated by the manufacturer or additional requirements agreed upon. The measured leakage / transmission can be used for TPS modelling.

- *Background*

Inter-leaf leakage and leaf transmission depends on MLC design (42,51). Most of the dose in IMRT is delivered (both dynamic and static) by fields shaped with leaves which are not or partially shielded by (additional) back-up blocks. If transmission through leaves is not shielded by backup jaws, this interleaf leakage and leaf transmission adds up to dose in the low dose areas.

- *Suggested tests*

With the collimator (as) closed (as possible), leaf transmission can be tested with an ionization chamber, although the measured signal will most likely be an

average of the combined leaf transmission and interleaf leakage. For that reason it's more suitable to use a high resolution detector to distinguish both components (using a single diode in a water phantom, film or EPID). Although the methodology does not differ from conventional RT, the outcome is more important for IMRT.

Note: No specific tolerances will be given, as leaf transmission comes as it is.

Important is that the actual transmission meets the manufacturer's specifications and that it can be defined correctly in the treatment planning system.

2.4.2.2. *Dose Monitor System*

- *Goal*

To ensure that the dose monitor system performs as required when using IMRT and to collect the baseline performance characteristics.

- *Background*

A distinctive characteristic of (segmented) IMRT is the use of small segments in terms of field size as well as number of MU. It is therefore of major concern that the linear accelerator delivers the desired dose correctly for the whole (intended) range of MU used. Although dose monitoring and control will vary depending on the vendor type, every linear accelerator is equipped with a multi plated ion chamber with dose and servo plates. It is an important component for controlling and monitoring the dose delivery of the linear accelerator. Variability in dose per MU, dose rate, homogeneity of the field, etc. will be kept within certain limits. (e.g. Siemens: Ravikumar et al, 2005 (52); Elekta: Mohr et al, 2007 (53); Varian: Kang et al, 2008 (54))

- *Suggested tests*

A. Reproducibility

The test can be performed in accordance with NCS-8 (1995) (3) and IEC-60976/60976 (18). The test is extended for IMRT to the total range of MU to be clinically used (e.g. 2, 5, 10, 20, 50, 100, 200, 500, 1000 MU).

The reproducibility is defined as the coefficient of variation, defined by:

$$s = \frac{100}{\bar{R}} \sqrt{\sum_{i=1}^n \frac{(\bar{R} - R_i)^2}{n-1}} \%$$

where

n = the number of measurements (e.g. 10)

R_i = reading of the i^{th} measurement (IEC defines it as the ratio of measured values of MU and absorbed dose of the i^{th} measurement,

\bar{R} is the average value of the ratios R_i

Note: The present NCS-8/IEC test is stated with the use of a number of MU equivalent to a dose of 1 Gy.

B. Proportionality

The test is to show that the relationship between the number of MU and measured dose is linear. The test can be performed in accordance with NCS-8 (1995) (3), but now also including dose for the low MU segments that are clinically used. One can use the data set collected during reproducibility. If dose rate is included as variable one can use the IEC-60976/60976 (18) procedure.

Note: The present NCS-8 test separates number of MU and dose rate for this item with separate criteria, IEC combines them.

C. Beam profile stability:

The stability of beam profiles (i.e. profile shape) has to be determined at low MU as a function of gantry angle, also during beam start up.

It is essential that in addition to the proportionality of the dose at the central axis of the field, the local dose variation has to be kept minimal within all points inside the beam. Matrices of ion chambers are frequently used for this test, but EPIDs can also be used for this.

Proposed test: With the use of a linear 1D or 2D detector (array), rigidly mounted on the linear accelerator head, measure the integrated dose profile (or corresponding reading) during beam start-up as a function of gantry angle (0, 90, 180, 270; CW and CCW approached) and MU (e.g. 2, 5, 10, 50). Determine for major axis points (excluding penumbra) the maximum variation of local dose, see figure 2.1.

Note: Current NCS-8/IEC test is stated for a single point on the CAX with the use of number of MU equivalent to a dose of 1 Gy.

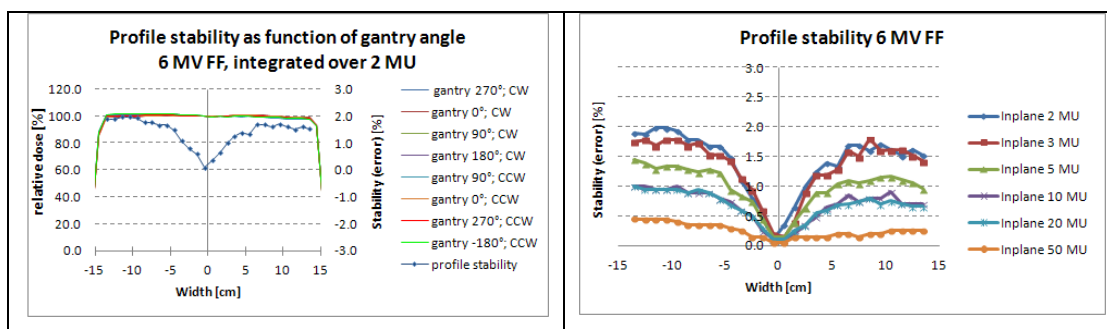


Figure 2.1. Profile stability of a 6 MV 30x30 cm² field.

Left: example of integrated dose of 2 MU for different gantry angles, with the blue marked curve representing the variation in local dose.

Right: Variation of local dose (as left figure collected over different gantry angles) for different number of MU.

- *Recommended tolerances*

As minimum requirement compliance with IEC-60976/60976 (18) is proposed. The need for tighter tolerances regarding IMRT is achieved as a matter of course, since conventional tolerances applied to a number of MU corresponding to ~ 1 Gy whereas now criteria apply to the total range. The NCS proposal for desired requirements is stated below.

- Reproducibility: 0.5%
- Proportionality: 1% (2% if combined with dose rate effect)
- Beam profile stability: 2%

2.4.3. Radiation safety

- *Goal*

To ascertain that (previously) designed shielding barriers for scattered and leakage radiation fulfil the intended protection.

- *Background*

One of the key features of IMRT is the use of non-uniform beam intensities. Single fields are divided into multiple segments, resulting in a significantly increase of total number MUs with a factor of two to five (e.g. (55,56,33,57–59)). As a consequence it should be verified that the shielding design is not compromised by the increased number of MU's.

- *Suggested tests*

The shielding calculations should be adapted for the increased number of monitor units per delivered Gy. This increase of number of MU is reflected by the so called IMRT factor that accounts for the increase of scattered radiation from the head of the treatment machine. The IMRT factor is defined as the ratio of the average number of MU per unit prescribed dose needed for IMRT and the number of MU per unit dose for conventional treatments. Guidance can be found in e.g. IPEM Report 75, 1997 (56); NCRP Report 151, 2005 (57) and IAEA Report 47, 2006 (55). It is advisable to use clinical information to estimate the increase in leakage dose, i.e. based on a sample of clinical IMRT cases to calculate the average total MU required. Measurements to confirm calculations (survey, leakage radiation around the patient, etc.) are not different for IMRT than for the conventional situation. The evaluation needs to be repeated if the IMRT factor or workload changes in time.

2.4.4. Small field beam data

- *Goal*

To acquire PDD's, beam profiles and output factors for small fields

- *Background*

IMRT fields contain multiple segments or sliding window apertures that can have small field sizes. For beam data configuration and/or validation of TPS calculation accuracy these data have to be acquired for small field sizes.

- *Suggested test*

A standard water phantom can be used to acquire these data, but the following practical suggestions for these measurements should be taken into account (derived from IPEM 103, 2010 (24))

- PDD

One of the problems to be addressed when measuring small field PDDs is the possible deviation from the beam's axis with increasing depth. The result of such a deviation is an underestimation of dose in depth, showing curves with a steeper fall off. When scanning with a detector too large with respect to the field size, the PDD will also be affected due to volume average effects.

To measure small field PDDs, preferably diodes should be used with their axis oriented parallel to the beams axis. Also ionisation chambers with a volume of about 0.01 cm^3 might be used. The preferred scan direction is from depth towards the surface to reduce the disturbance at small depths.

- Profiles / penumbra

- To measure profiles / penumbras, preferably diodes (both shielded, unshielded or stereotactic) should be used with its axis parallel to the CAX of the beam. As an alternative radiochromic film can be used to benefit from its high spatial resolution provided that a well-defined and proven film processing protocol is in place.

Since they are not water equivalent and they show penumbra broadening due to volume averaging, ionisation chambers are not recommended to measure profiles. But when used, the ionisation chamber's volume should be around 0.01 cm^3 and without steel electrode.

- Output factors

It is recommended that the total scatter factor S_{cp} in water (defined as the ratio of dose of a field against the reference: $10 \times 10 \text{ cm}^2$ @ $d=10 \text{ cm}$) is measured with multiple 'suitable' detectors to estimate the uncertainty in S_{cp} determination.

A detector should be small enough to measure the smallest field size in the range clinically used. That makes the use of even the smallest ion chamber questionable when used without proper response correction.

Shielded diodes may overestimate the output due to fluence perturbation caused by the high Z shielding. On the other hand the unshielded diode shows an over response to low energy scattered photons for larger field sizes. Therefore, an unshielded diode is advised for small field OFs, but a $5 \times 5 \text{ cm}^2$ field is preferred as reference field, which in turn can be measured and related to a $10 \times 10 \text{ cm}^2$ reference with an ionisation chamber.

Regarding the measurement of in-air OF, or head scatter factor S_c , again diodes are the most suitable detectors for small field measurements. Mini-phantoms used should be in made of high-Z material, (see (60)).

2.4.5. *Beam Interruptions*

- *Goal*

To assess the accuracy of a treatment that has had a beam interruption during treatment delivery.

- *Background*

IMRT treatments consist of multiple beams with sometimes complex fluence profiles. After a beam interruption the treatment machine has to continue treatment with gantry, collimator, MU and MLC-settings at the control point reached at the time of beam-interruption. Additionally the R&V system must capture the beam-interruption if the treatment has been closed and must provide a means to deliver the remaining dose.

- *Suggested test*

With film, ionization chamber or diode-array a measurement with beam interruption is compared with measurement without interruptions. This is performed multiple times at multiple different control points. At each interruption the treatment should be closed to enable the R&V system to capture the interruption and deliver the remainder suggested by the R&V system.

- *Recommended Tolerance*

Tolerance should be within 1% relative to prescribed dose.

3. Commissioning a treatment planning system used for IMRT

3.1. Introduction

In NCS 15 (1) practical guidelines for commissioning (initial verification) and QA of a treatment planning system (TPS) is given, including an exhaustive overview of tests. However, these guidelines and the presented tolerance levels are restricted to conformal treatment planning and give no guarantee for an adequate implementation for IMRT treatment planning.

In this chapter we will give an overview of published tests to evaluate the modelling accuracy of a treatment planning system for topics specific for IMRT and supplementary to those tabulated in NCS 15 (1). These topics include the accuracy of the leaf tip modelling, leaf transmission, modelling off axis beams, modelling of small beams and abutting fields.

For further reading the AAPM report on IMRT is recommended (61,31).

3.2. Background for the leaf modelling

The dose distribution of a beam, among other design details, depends on the specific MLC design. Or, vice versa, a specific MLC design is based on dose distribution demands. For example, most clinically used MLCs are not mounted spherically, forcing a curved design of the leaf tip in order to achieve similar penumbras for different positions of the leaf tip (62).

In general, TPS accuracy is assessed by the comparison of measured and modelled data and tolerances are defined for determined differences. Restricted to conformal treatment planning, NCS 15 tabulates a tolerance of 2 mm for radiological width, beam fringe and penumbra region (1) to guarantee appropriate MLC/leaf-tip modelling. Every TPS uses different parameters related to the MLC design to model these beam-dose characteristics. In this paragraph we will give an overview of the most common parameters linked to the MLC design. Of course every user has to select the relevant parameters for his/her TPS to model his/her linac; for example leaf tip modelling is only applicable for non-focused MLC designs and tongue and groove effects are not an issue for MLCs with a tilted leaf bank design.

3.2.1. Leaf tip modelling

In modern treatment planning systems, MLC's are described separately and several parameters may be available to model the penumbra resulting from the specific leaf

design. Each planning system has its own set of parameters to geometrically describe the rounded leaf tip and accurate, sub-millimetre specification of these parameters is necessary in order to obtain good dose calculation accuracy in IMRT (63,61).

Inaccurate modelling of the leaf tip position both on-axis as well as off-axis (35) and inaccurate modelling of the rounded leaf ends may result in clinically unacceptable dose differences (47). Therefore, the agreement between the measured and TPS calculated 50% isodose level and penumbra width should be within 1mm. Special attention should be given to 50% isodose level agreement for the small field sizes. A rounded leaf tip design implies an offset of the radiological position of the leaf tip relative to the projected light field position of the leaf at isocentre distance (64,46). This offset is a function of the leaf tip position (65). The leaf tip position offset is a geometric parameter to model this offset.

3.2.2. Match-lines or abutting fields for the evaluation of the rounded leaf tip modelling

Step and shoot irradiation techniques are most sensitive to errors in the leaf tip modelling especially for abutting fields. Small errors result in relatively large dose errors at the field abutment. Methods/Measurement applying abutting fields in order to evaluate several independent modelling parameters are described in the literature (66,40,28). To evaluate the rounded leaf tip modelling, the dose at the matching field boundaries calculated by the TPS is compared with the dose measured using radiographic and/or radiochromic films. An alternative method using small gaps between the fields has been reported as well (44,67).

3.2.3. Leaf gap modelling

In order to compute the dose more accurately, especially for small leaf gaps (67) used for dynamic IMRT techniques, LoSasso et al. (47) introduced an alternative to model the dosimetric effects of a rounded leaf tip. This parameter is called the Dosimetric Leaf Separation factor (DLS) or Dosimetric Leaf Gap (DLG). It accounts for the transmission through the round edge of both opposing leaf tips of the moving

leaf gap. The quantity is an offset added to the nominal leaf gap and it ensures dose computation accuracy especially for small gaps.

3.2.4. Dose Transmission through the MLC

Dose transmission through the MLC has two main components, leaf transmission and interleaf leakage. The former is determined by the geometrical height (and material) of the leaf. The latter is determined by the space between the leaves necessary for (frictionless) motion but, by design, is reduced either by tilting the MLC (68) or by the tongue and groove of each leaf.

3.2.4.1. Tongue and groove effect

It has been shown that when a single leaf is exposed to a radiation field, the actual leaf blocking, perpendicular to leaf-motion, is larger, e.g. 1.1 cm for a 1.0 cm leaf at the isocentre, resulting in a smaller full width half maximum for the MLC-defined field compared to a jaw-defined field (51,35,67). Several treatment planning systems allow the introduction of a value concerning the tongue and groove effect resulting in a significant reduction of the dose calculation error. Separate MLC defined fields are often measured for the determination of this tongue and groove value (65).

3.2.4.2. Interleaf leakage and leaf transmission

The composite radiation transmission by the MLC is determined by leaf transmission, the additional interleaf transmission and the aforementioned tongue width. Most treatment planning systems are not able to account for these properties separately. Either a single value can be introduced taking into account for the average effect of interleaf leakage and leaf transmission or two separate values (65). Using GafChromic film measurements interleaf leakage and leaf transmission values can be determined separately. Using a large ionization chamber oriented orthogonal to the leaf direction the sum of both values is registered. For both film measurements and chamber measurements leaf-only fields with matching leaf tips underneath the Jaws are recommended. For IMRT treatments, both SS and SW, the dose at a certain position is a combination of the dose of open IMRT segments and the contribution due to the composite MLC transmission. As a result, especially for the low dose regions, the dose contribution of interleaf leakage and leaf transmission can be several times higher in IMRT as compared to 3D conformal radiotherapy. As a

consequence tighter tolerance values have to be set for the modelling of the low-dose regions compared to NCS 15 (1).

3.3. *Recommendations for leaf modelling*

The following tests are recommended:

3.3.1. *Leaf tip modelling*

Verification of the leaf tip modelling (penumbra) for several leaf positions is recommended, keeping in mind that each MLC design has its own characteristics and that dosimetric consequences of leaf tip modelling accuracy also depends on the delivery technique (e.g. SS versus SW). Because of the required positional resolution diodes, diamond or liquid-filled ionisation chambers, film dosimetry and EPIDs are the recommended measurement devices. A sub-millimetre agreement of the 50% dose position is recommended. The TPS calculated dose profiles of an IMRT field consisting of several small (elongated) field-segments (width 1 cm) are compared with measured profiles. The small field-segments are located at different off-axis positions either in an abutting setting or with small gaps (1 cm) in between (36,31,65). Agreement should be within 5-10 % of dose maximum.

Proposed leaf positions (leaf bank1/leaf bank 2 [cm]) for the small fields with gaps:

segment 1)	10.5/-9,5
segment 2)	8.5/-7.5
segment 3)	6.5/-5.5
segment 4)	4.5/-3.5
segment 5)	2.5/-1.5
segment 6)	0.5/0.5
segment 7)	-1.5/2.5
segment 8)	-3.5/4.5
segment 9)	-5.5/6.5
segment 10)	-7.5/8.5
segment 11)	-9.5/10.5

Also see figure 3.1. Jaw positions should be at least 5 cm out of leaf boundaries.

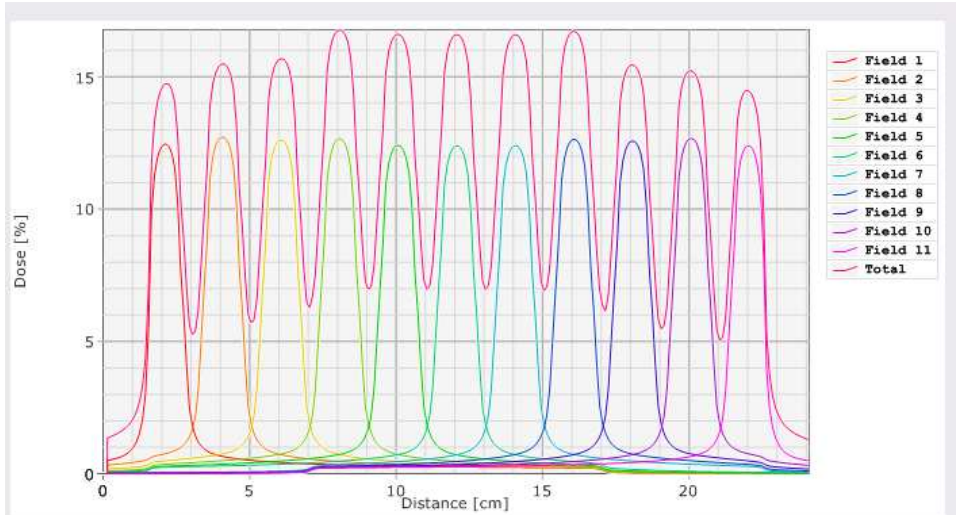


Figure 3.1 Example of the dose distribution for evaluation of the leaf tip modelling using small fields with gaps (the central plane is located at distance 12 cm at the centre of segment 6).

Proposed leaf positions (leaf bank1/leaf bank 2 [cm]) for the small abutting fields:

segment 1)	10/-8
segment 2)	8/-6
segment 3)	6/-4
segment 4)	4/-2
segment 5)	2/0
segment 6)	0/2
segment 7)	-2/4
segment 8)	-4/6
segment 9)	-6/8
segment 10)	-8/10

Also see figure 3.2. Jaw positions should be at least 5 cm out of leaf boundaries.

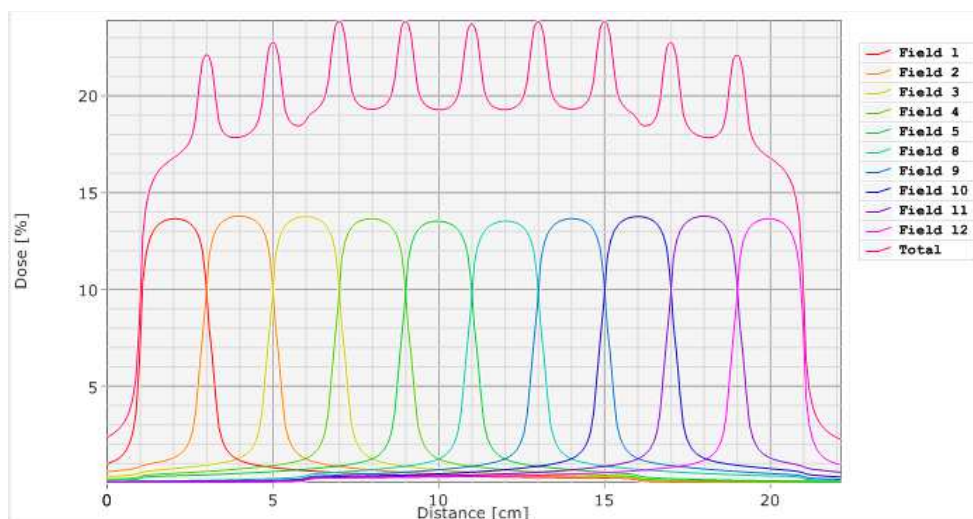


Figure 3.2: Example of the dose distribution for evaluation of the leaf tip modelling using abutting fields (the central plane is located at distance 11 cm, in between segment 5 and 6).

3.3.2. Leaf position modelling

We recommend using an abutting field set-up in order to verify the leaf positioning modelling: perfect matched fields and small gaps/overlaps are planned in the treatment planning system and compared with film measurements. An example of such an abutting field set-up is shown in figure 3.3. When using small segment IMRT irradiation fields, a field-position (defined by 50% dose) agreement tolerance of 0.5 mm or better is advised.

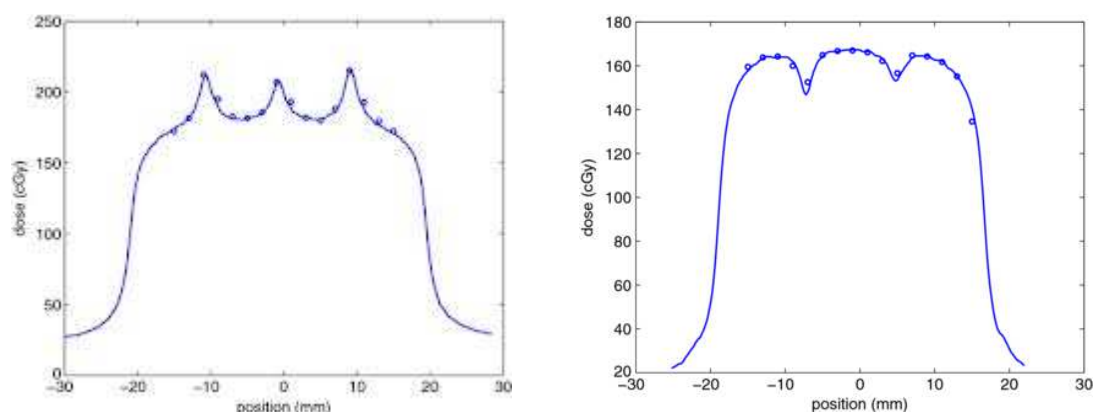


Figure 3.3 Example of non-perfect matched fields used for verification of the treatment planning system (69).

3.3.3. Dosimetric Leaf gap modelling

In section 2.4.1.2-C the method for determining the dosimetric leaf gap has been described.

3.3.4. Tongue and groove effect

Accurate modelling of this effect can be evaluated by adding half fields orthogonal to the leaf direction and compare TPS results with film dosimetry or by measuring the FWHM of narrow MLC determined fields with the jaws retracted. Fields determined by, for example, three retracted leaves will result in a field size of for instance 2.8 cm instead of 3 cm nominal field size at reference depth (62). Inappropriate modelling of this effect can result in dosimetric errors as illustrated in figure 3.4b when leaves are moving asynchronously (sliding window IMRT) or when large differences in leaf position are generated for adjacent leaves (step and shoot IMRT).

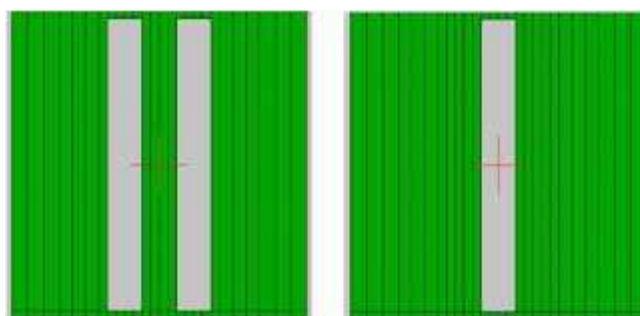


Figure 3.4a Example of MLC fields for determination of the Tongue and Groove Value (69).

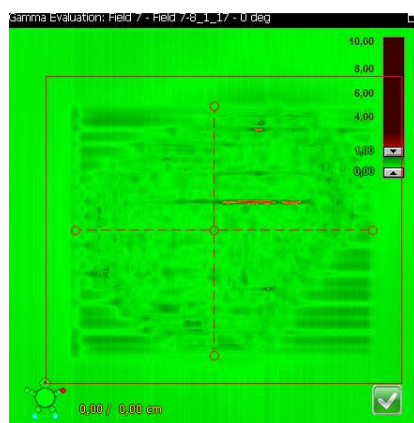


Figure 3.4 b Example of a field with a tongue and groove effect dose discrepancy.

The dosimetric consequences of the tongue and groove effect can be reduced by selecting appropriate collimator angles and/or segments. This can be evaluated for each class solution.

3.3.5. Interleaf leakage and leaf transmission

The required accuracy for the transmission modelling is dependent on the treatment technique used. The modulation scaling factor (MSF; total amount of MU/amount of MU for open conformal beams) determines the required tolerance (70). We advise to divide the 50% tolerance level for 3D conformal techniques (NCS 15 (1)) by the MSF in order to obtain the required tolerance for the treatment planning system. A tolerance of 10% between calculated and measured values is the state of the art value recommended by NCS. An average of interleaf leakage and leaf transmission can be obtained by averaging radiochromic film readings or using large ionisation chamber readings (farmer type). Detector systems that are energy dependent should be avoided (e.g. radiographic films) since the energy spectrum of the leaked radiation differs from the open beam energy spectrum.

If interleaf leakage and leaf transmission can be modelled separately in the TPS, radiochromic film measurements are recommended to discriminate between leakage and transmission and to obtaining accurate results., When measuring MLC leakage and transmission, note that the collimating jaw or backup-jaw, if present, is retracted at least 5 cm. Apply the same 5 cm retraction for the MLC leaf banks when measuring jaw transmission. In order to avoid influence of scattered dose at the leaf tips, a difference of 5 cm between the leaf tips and the measuring points is advised.

3.4. Dose calculations outside field edges

3.4.1. General Remarks

The prediction of dose transmitted through MLC, backup-jaws and collimating jaws, i.e. outside the geometrical edges of IMRT segments, was recognized to be of great difficulty (71). Relative differences of up to 50% between measurement and calculated dose are reported. This is equal to the tolerance for the commissioning of a treatment planning system for 3D conformal radiotherapy. For IMRT treatments the contribution of dose transmitted through MLC and (backup-) jaws and consequently a tolerance for TPS accuracy is linked to the degree of modulation and the number of

segments (72). A similar tolerance level 50%/MSF as for the leaf transmission is recommended (see also paragraph 3.3.5). Several studies have been published linking the IMRT optimization process to the predicted dose on PTV/OAR on a planning study level, where optimization processes are advised that reduce MU's and dose outside the PTV (73–75). For Elekta machines with a standard MLC (40 leaf pairs of 1 cm leaf width at isocentre, no over-travelling collimator jaws, MLCi & MLCi2) an additional effect of dose calculation outside the field boundaries should be taken into account. As reported in the literature (76,77), a field/segment with an elongated open MLC part blocked by the backup-jaw can occur to define an over-travelled field ('flagpole'). A 10% transmission through the backup jaws is described and an accurate modelling of this transmission dose outside the field boundary is necessary.

3.4.2. Recommendations

The dose at several distances outside the edges of an individual segment should be evaluated (e.g. 2,5,10 cm). An IMRT beam with a fluence pattern like an inverse pyramid (78,79) provides a pyramid like dose distribution suitable for verification of the TPS calculated dose outside segment edges: for each step on the dose pyramid (beamlet) the TPS calculated dose can be verified by an ionisation chamber measurement. This can be done by defining the inverse pyramid fluence pattern by fixed jaws, closing in jaws and step and shoot or sliding window delivery techniques. For Elekta machines the transmission through the "backup" jaws has to be verified in case fields with a 'flagpole' have to be introduced to define an over-travelled field. Examples of 'flagpole' fields are reported in literature and a similar tolerance level is recommended as for the leaf transmission tolerance (see paragraph 3.3.5.).

3.5. Modelling of Small Fields

3.5.1. General Remarks

IMRT delivery techniques are generally using segments (both in step and shoot and sliding window techniques) with limited field sizes, even small fields. Small fields are defined as fields that do not exhibit lateral electronic equilibrium in the centre of the beam (80,24). If the selected treatment delivery system applies such segments, one must pay extra attention before implementing IMRT.

The following effects play a role in the dosimetry of small fields ($< 3 \times 3 \text{ cm}^2$).

- As the beam shape is made smaller, the total photon energy spectrum shifts to a spectrum determined by the thickness of the flattening filter (22,26)
- The photon fluence will decrease with smaller fields due to the partial covering of the effective spot size (81)
- The photon fluence will decrease with smaller fields due to the scattering in the flattening filter (81)

3.5.2. Recommendations

First off all, the smallest allowable IMRT segment/leaf-gap should be assessed. Output factors and depth dose curves of this field should be measured to verify that the treatment planning system is able to predict the data within 5% ($2 \times 2 \text{ cm}^2$ or $3 \times 3 \text{ cm}^2$ field sizes). An appropriate calculation grid size for dose calculation is advised and special attention should be given to the shape of the depth dose curves for each clinically used grid size. A general remark is the use of the appropriate grid size for the modelling of small segments/leaf-gaps. The grid size should be finer than the size of the beams/segments/leaf-gaps (31).

3.6. The modelling of bi-directional off-axis beams

3.6.1. General Remarks

In the IAEA-TECDOC 1540 (82) and NCS-15 (1) asymmetric half beams are suggested for the QA program of general Treatment Planning Systems. IMRT treatment plans may use smaller segments/leaf-gaps which may be positioned extremely off axis. Therefore, in addition to NCS-15 recommendations one should perform tests using such small, extremely off-axis fields. The tolerance criteria of the dose prediction should however be adapted to the complexity of the dose calculation as has been described in literature (71). The selected field size should be linked to the clinically used dimensions and if smaller than $3 \times 3 \text{ cm}^2$ one must be aware that the beam quality variation in off-axis direction due to the flattening filters adds an additional pitfall for small field modelling (83).

3.6.2. Recommendations

NCS suggests verifying asymmetric half and quarter beams. For IMRT treatment plan verification in plane and cross plane over-travelled fields should be verified

using field sizes corresponding to the clinical practice. According to NCS 15 (1), in the dose homogeneous regions a 4% dose agreement for a 4x4 cm² is recommended and in addition -10, -5, 0, 5 and 10 cm off axis values in cross direction should be considered. Also the inversed pyramid is a useful tool in order to evaluate the accuracy of the dose for over-travelled fields (78,79). In this test MLC segments with fixed open jaw positions are used to verify MLC transmission and off axis beam modelling. This test can be done using step and shoot or sliding window delivery.

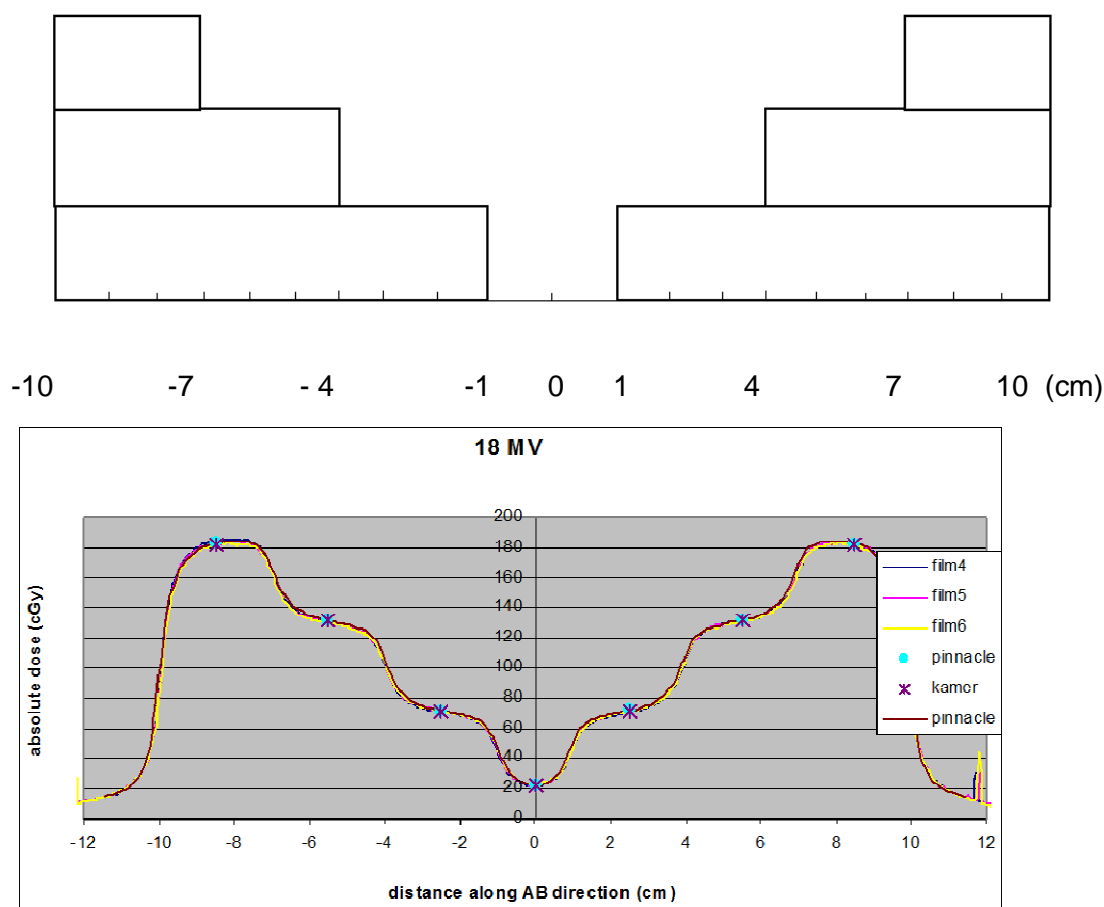


Figure 3.5 Example of the inversed pyramid profile: The jaws are set at 10 cm.
 above : schematic representation of the six segments.
 below : example of the verification between planning and treatment delivery using 60 MU for each segment at SSD = 90 depth 10 cm (see also (78)).

3.7. *Verification of TPS in inhomogeneous phantoms (calculation algorithms)*

3.7.1. *General Remarks*

Heterogeneity corrections may be more important for IMRT than for conventional treatments, for several reasons (31). IMRT treatments often incorporate more and different beam directions than are used conventionally, so previous clinical experience with uncorrected doses may not translate well.

This implies a careful reconsideration of the calculation algorithms for each tumour site. Especially for low density dose prediction Monte Carlo (MC) based, Collapsed Cone Convolution (CCC) or Anisotropic Analytic (AAA) based algorithms are advised in order to obtain accurate dose predictions (84–89). For smaller segments/leaf-gaps larger differences in heterogeneous media are found (84,88). In relation to IMRT special attention on the accuracy of the treatment planning system should be given.

One should notice that the inverse optimization algorithm frequently use a simple heterogeneity algorithm. Discrepancies between optimizer dose distributions and full computation dose distributions should be assessed.

3.7.2. *Recommendations*

NCS-15 (1) proposes some tests on inhomogeneous phantoms for 3D conformal treatments. Large fields are verified on a phantom with a low density insert. In addition to NCS-15, this NCS report recommends the verification in the same or similar phantom using a small or narrow field (e.g. 2x2 cm²; 16x2 cm² (90)). In the literature similar testing is reported for IMRT beam deliveries (84,91,92). Testing can be done using solid phantoms with air and cork inclusions or anthropomorphic phantoms. Extensive testing is not advised while the accuracy is mostly determined by the algorithm and this can be found in literature (84–89). Furthermore, dose verification at interfaces is difficult to perform.

3.8. *Verification of the optimization algorithm*

3.8.1. *General Remarks*

Several forward and inverse optimization strategies are clinically used to create an optimal dose distribution. These methods may use direct aperture optimization or multi criteria optimization (Pareto Optimization). Optimal dose distributions are

obtained using either physical or biological cost functions. The optimum of such cost functions defines the optimal dose distribution in a deterministic or stochastic manner for both the planning target volumes (PTV) and the organs at risk (OAR), with or without simultaneous integrated boost dose levels. Several good review articles are available in the literature (91,31,93).

3.8.2. Recommendations

A general remark on the recommendations for optimisation algorithms is the use of the appropriate grid size. IMRT is often used in situations with high dose gradients. The grid size should be finer than the size of the segments used and such that modulations in the incident fluence map are adequately sampled (31).

In order to evaluate and to compare all those optimization and treatment delivery techniques several authors propose inter-centre quality assurance solutions using common plan objectives on well-defined volumes (PTV and OAR) (20,91,61,10,94). The Quasimodo results are a good benchmark while a large set of different planning systems are compared providing good reference values to evaluate the planning system and optimizer of each centre.

3.9. Systematic sequence of the commissioning of an IMRT planning system

3.9.1. General Remarks

Ezzell et al. (31) recommend a workflow in which the dosimetric commissioning of an IMRT planning system should follow a systematic sequence. Many of the tests in this sequence require that the system allows the user to specify a desired intensity pattern and apply it to a phantom so that the resulting doses can be measured and confirmed. The basic scheme is to advance from simple to more complex tests. For example, start with a single beam on a simple, flat (i.e. geometric) phantom with controlled intensity patterns. When those are evaluated, then progress using controlled intensity patterns for multiple beams on the simple phantom. After that, apply multiple beams treating hypothetical targets in the flat phantom. Finally (if possible) progress testing multiple beams treating hypothetical targets in anthropomorphic phantoms. The goals are, first, to determine if the beam parameters

are accurate using simple situations that are easy to evaluate and, second, to determine the level of accuracy to expect in clinical situations.

3.9.2. *Dosimetric Verification*

Generally it is accepted that a good agreement between planned dose and delivered dose is obtained when the dose difference in homogeneous dose regions is within a well-defined margin (2-3 % of the prescription dose) while in regions with doses with steep dose gradients ($> 30\%/cm$) the distance to agreement (DTA) should be better than 4 mm (95). These combined criteria are merged by using the definition of the gamma evaluation index (96). It should be notified that this model should be considered with some care regarding the evaluation dimension of the gamma criterion (1D/2D/3D) and some minor improvements have been proposed later to optimize the gamma evaluation criteria (97).

The exact tolerance levels depend on the measuring devices used, varying from 2% to 7.5% for the dose levels and varying from 2 mm to 5 mm for the DTA (98,99,92,48).

The DTA is influenced by the spatial resolution of the measuring device and the calculation grid of the TPS, the exact dose tolerance level can be determined by performing an error analysis of your measuring device, treatment planning system (see references above).

For 2D evaluations, a 3% / 3 mm agreement for 90-95% of the data points is considered to be state of the art (61), often achieved by combining spatial accurate film dosimetry normalised to a region of homogeneous dose using an ionisation chamber reading. Systems with less accurate spatial resolution can, depending on delivery technique, result in differences in the obtained gamma value and as a consequence a different passing rate (63). This implies a critical evaluation of the acceptance threshold values/criteria. Additionally, some remarks about the predictive power of the gamma value have been published although those remarks are limited to planar dose measurements (100,101). One of the evident shortcomings is the lack of spatial information since this is impossible in a single numerical value. This can be resolved by profile analysis at clinically critical regions of interest.

The user should consider carefully what percentage $\gamma < 1$ and averaged gamma value is acceptable, considering the measuring technique. For example, changing from 2D to 3D evaluations may lead to a considerable increase in number of evaluated points and possibly smaller distances to agreement. Evaluating more points, especially in regions with little dose modulation may mask erroneous regions if the acceptable

percentage $\gamma < 1$ is set too low. Therefore, although 3D evaluation is preferred over 2D evaluations, the passing criteria may need to be stricter.

4. Patient Specific QA

4.1. Introduction

Once the entire chain of treatment planning system, data transfer and treatment delivery has been commissioned, one needs to ensure that 1) appropriate treatment plans are made for a specific patient, 2) that these plans are transferred correctly to the linac and finally 3) that they are delivered accurately. In this chapter, we describe the equipment needed for proper patient-specific QA, the steps required for developing a class solution, introducing this new class solution in the clinic and performing QA for existing class solutions using either pre-treatment or in-vivo checks. The QA demands depend on the institute's experience with IMRT and the complexity of the technique. If deviations beyond accepted limits occur between predicted and measured values, an expert (medical physicist) has to be consulted and the problem has to be investigated.

4.2. Definitions used in patient-specific QA

The different methods for QA are assigned to different classes where the highest class (I) has the lowest number. For this purpose, we made a distinction between dosimetric accuracy and spatial resolution since both play an important role in IMRT-QA. In practice, different tools may be combined to achieve optimal dosimetric and spatial resolution.

Unless stated otherwise we define:

- A low gradient region is a region in which the dose varies less than 20% per cm, compared to the local dose value.
- A spatial resolution of 2 mm or better is considered 'high-resolution' and as such falls into the class I devices regarding spatial resolution.
- The reference dose is the dose prescribed to the relevant target volume (PTV). If elective target volumes are present with substantially different dose levels, the prescribed dose to these regions should be used as reference dose for those volumes. It might be necessary to run the gamma analysis multiple times with different reference doses.

- The phantoms used for pre-treatment verification should preferably be the same size as the treatment site under consideration. Thus, for head and neck IMRT a different phantom should be used compared to prostate IMRT.

4.3. *Gamma analysis*

We recommend performing a gamma evaluation with a criterion of at least 3%/3mm (96,102), if possible using absolute dosimetry. For a 2D and 3D evaluation all measured points with a dose below 10% of the reference dose should be discarded to avoid false positives due to low signal-to-noise in the low dose area. The choice of the actual cut-off value is at the discretion of the user, in part based on the treatment site, the equipment used and the choice between a 2D and 3D gamma evaluation. The maximum allowed deviation of $\gamma > 1$ is 10% of the points sampled. If the averaged Γ -value is above 0.5, an expert (medical physicist) should look into the problem. See e.g. Stock (102) for directions how to interpret gamma images and section 3.9.2 for additional remarks on the use and predictive power of the gamma evaluation.

To achieve a distance to agreement (DTA) of 3 mm or better it is recommended to perform the dose computation with a resolution of 3 mm or better, depending on computation times. The slice thickness of the imaging dataset used for dose computation should be considered to meet this criterion.

For the gamma evaluation, it may be necessary to normalise the measured dose distribution to the computed one and register them. Both registration matrix and normalisation constants should be monitored and should not deviate substantially more than the stated gamma criteria (DTA/dose) from their expected values.

Finally, all equipment used should be calibrated properly and their limitations in terms of dosimetric and spatial accuracy/precision should be known and taken into consideration. As stated in section 3.9.2, the gamma evaluation tool should be considered with care and should not be used as the only evaluation criterion (100,101).

4.4. *Validation of plan transfer*

For IMRT it is impractical to perform a manual check for all treatment parameters to ensure correct transfer of the final plan to the linac. Although cross-check as suggested in table 4.1 are highly recommended, not all parameters can be checked

in this way. Therefore we suggest to perform a thorough plan transfer check for 50 patient plans to assess correct transfer. If the final plan can be altered at the linac, procedures to prevent accidental changes should be implemented. An automated procedure to check the consistency between intended plan and the plan at the Record and Verify System is highly desirable.

4.5. Methods

Below we summarise several systems that can be used for IMRT-QA. We briefly reflect on the advantages and disadvantages of the various systems.

4.5.1. High-resolution evaluations

Film measurements

Film is traditionally used for the (pre-treatment) verification of fluences and integral dose distributions produced by linacs. In the past, radiochromic films were successfully used to perform gamma analysis with a 3%/3mm gamma criterion (103). In 2011/2012, this type of film is being replaced by EBT/GafChromic films which have some problems because of the inhomogeneous distribution of its active component. In 2011, EBT/GafChromic films can be applied for gamma evaluation with 5%/3mm (104). The user is advised to update his/her knowledge with respect to the present capabilities of film dosimetry. Films are used for relative dose measurements, but can also be used for absolute measurements if both films and scanner are calibrated properly. Care must be taken to establish a dose-density curve per batch of film because of the known differences in sensitivity between batches of films.

Film can be used for visual inspection even if dosimetric accuracy is low. With visual inspection, one can determine tongue and groove effects, abutting leaf problems and the effect of flagpoles. Such findings may be cause for reconsideration of the linac parameters or IMRT class solution.

EPID Measurements

Electronic Portal Imaging Devices (EPIDs) can be used to replace film dosimetry for transit dosimetry and pre-treatment fluence verification without a phantom in the beam. There are various advantages of EPID dosimetry over film dosimetry:

- Instantaneous imaging: no developing or digitizing of films needed. This allows fully automated generation of dose images and gamma maps and

evaluation. This infrastructure enables the development of 3D in vivo reconstructive dosimetry in combination with a cone beam CT scanner on the linac (105–108)

- The imaging can be time-resolved; i.e. imaging of separate segments in a sequence is possible
- It can be used at any gantry angle
- EPID calibration is less time consuming compared to per-batch film calibration
- In many institutes, linacs are equipped with an EPID

There are some disadvantages however:

- At present (2013), existing commercial EPID dosimetry systems are rather primitive and do not make use of the full potential of EPID dosimetry.
- Proper calibration of the EPID for dosimetry requires expert knowledge, which is now not readily (commercially) available. For example, the backscatter of the EPID suspension arm influences the acquired images. Furthermore, the implementation of full 3D EPID in vivo dosimetry is implemented in only a few institutes worldwide.
- Limited field of view: typically around 25 cm at isocentre. For mirror-based EPIDs, this means that parts of large fields cannot be imaged; for (ASi) flat panel based imagers this means that larger fields need to be modified before verification, which is not desirable. Some (parts of) fields can still be imaged by applying off-axis shifts of the detector or by reducing the source-to-detector distance.
- The EPID cannot be placed inside a phantom/patient.
- Effects of Gantry sagging are not (directly) included in the measurements.
- In flat panel detectors, the EPID electronics may deteriorate due to heavy usage and its life-time may be decreased compared to regular use. Imaging complete treatment beams for dosimetry purposes is a greater burden to the imager than only using it for setup images.

4.5.2. High dosimetric accuracy evaluations

Ionisation chambers are the gold standard for absolute dose measurements (2). However, for small fields other detectors may be better suited, each with their limitations (see section 2.2)

To evaluate the dose distribution at multiple points, several devices are commercially available consisting of a phantom with multiple diodes/ionisation chambers. As is possible with EPIDs, some of these systems are capable of reconstructing measured dose into a 3D dose distribution in a patient geometry. This is in particular true if the 3D distribution of the measurement chambers has been chosen in a clever way. As an advantage, gamma evaluations can be correlated to dose differences in clinical regions of interest and effects on DVH changes.

Note: TLD or MOSFET measurements are not recommended because of the low accuracy and high processing time. If point measurements are considered, ion chamber or single diode measurements are preferred because of higher accuracy. TLD or MOSFET measurements might be suitable for measuring entrance dose in vivo, for example near the eye.

4.5.3. General checks on plan

4.2.3.1 Plan transfer check

The goal is to ascertain that the intended plan has been selected and correctly been transferred to the linac, *i.e.* the Record and Verify System. This may be done by manually or automatically comparing the number of MU per segment, segment shapes et cetera, but one should acknowledge that manual checks have a limited value. A fully automated system, comparing all items would be desirable.

4.2.3.2 Monitor unit check

The primary goal of a monitor unit check (MU check) is to serve as an independent plausibility check of the treatment plan. MU check procedures come in different versions:

1. Ionization chamber measurement: A measurement in a well-defined, low-gradient point can serve to check the plan. This approach has the advantage of actually checking physical dose at the end of the transfer chain, but suffers from the disadvantage of being labour intensive. Additionally, errors may be detected only late in the process, possibly leading to extra work. Obviously, the dose distribution has to be recomputed for the phantom geometry, using the clinically intended linac settings.
2. Complex, multi-segment computations
 - Commercial software: Some companies offer software packages for performing MU checks. The advantage is that an institute does not need to develop an MU check themselves and may benefit from the

- experience from other users. As with all medical software, proper commissioning of such a package is mandatory. It may be difficult to integrate commercial packages seamlessly into the existing workflow.
- In-house developed software: This offers the possibility to tailor the MU check to the local work flow. Not all institutes may have the resources to develop in house software. Care must be taken to carefully check the code, preferably also by an independent party. As with all in-house developed software for the medical domain, the code must be carefully documented and tested. An example of an algorithm that can be implemented for an MU check was published by Baker (109) or Georg (110).
 - For all checks action levels should be defined above which the medical physicist should be consulted.
3. MU range-based. For each class solution, an empirical relation is established between the prescribed dose and the total number of monitor units applied, considering shape, size and weight of the individual segments. If the number of monitor units is outside a certain range, action is requested. The range may be defined such that 10% of all plans are presented for evaluation. The empirical relation may be established after 30 treatment plans. This type of check can only be used as an early warning and should be combined with more thorough checks later in the chain, pre-treatment or in-vivo. This method uses a trade-off between detection of errors and false negatives (therefore workload). The action level may be adjusted according to clinical experience.
 4. Table based dose calculation: based on knowledge of or availability of tabulated depth-dose curves and output factors. This method works as a plausibility check for straightforward conformal plans but is not adequate for IMRT plans where the number of monitor units is not correlated directly to the dose in a chosen point.
 5. Finally, a visual comparison of the plan may be carried out on both the treatment planning system and the Record and Verify System connected to the linac. It should be noted that with this only a rather crude check of the plan is performed. The shape of the MLC segments cannot be compared accurately with the intended shapes. This type of check can only be used as an early warning and should be combined with more thorough checks later in the chain, such as in-vivo dosimetry. Using visual inspection, also segments with a small equivalent size and/or few MU's can be identified.

Recommendations:

- Use an (automated) MU check procedure, properly commissioned and if possible validated by a third party.
- Calculate the (automated) MU check using the final export of the intended plan to the linac, which usually is a DICOM (rtplan) object. This should also check that the transferred plan is indeed the intended plan.
- The maximum allowed difference between the dose calculated by the MU check and the planning system is 5%. The point in which the dose is compared must be in an area with a low dose gradient and must be between 80% and 120% of the reference dose.
- It is not necessary to have a second planning system serving as an MU check to double check the primary planning system.
- While implementing an MU check it may also be worthwhile to implement a 'weirdness' check which looks at unusual segments, such as very small segments ($<1 \text{ cm}^2$) with many MU's, segments with few MU's (<4) or segments outside the PTV or the patient.

Additionally, if the MU check is not performed on the plan stored in the Record and Verify database on the linac, a plan transfer should be performed for all patients (Table 4.1, level IV check). Additionally, if the EMR allows changes to the IMRT plan, automated systematic checks on unwanted modifications are highly recommended.

4.5.4. Summary of QA methods

The different means for patient-specific QA are summarized in table 4.1. In our view, high spatial resolution is considered an important aspect for (patient-specific) IMRT QA, more important than, e.g., 3D reconstructed dose distributions, given the current possibilities of the available hard- and software.

Table 4.1 Methods for pre-treatment or in-vivo verification. In the column 'Time Required', 'L' indicates time is required on the linac; 'C' computation time; 'D' development time (film); 'P' processing time; 'E' evaluation time.

Technique	Checking what	Time Required	Class Dosimetric accuracy	Class Spatial resolution	Pre-treatment or in-vivo
3D measurement					
3D EPID dosimetry	3D validation of composite plan including KV cone beam CT of patient during treatment(105)	L,C,P,E	II*	I	P+I
Multiple diode/ionisation chamber array	Validation of each field or composite plan in fixed points with film and 3D reconstruction (111,112)	L,C,P,E	I	II	P
2D measurement					
2D EPID dosimetry	Validation of each field(113)	L,C,P,E	II*	I	P+I
Film dosimetry	Validation of composite plan or each field in one or more planes (114)	L,C,D,P,E	II§	I	P
Multiple diode/ionisation chamber array	Validation of composite plan or each field in fixed points(115)	L,C,P,E	I	II	P
Single point measurements					
Ionisation Chamber (small size)	Validation of composite plan or each field in one (few) points	L,C	I	-	P
TLD/MOSFET/single diode	Validation of each field or composite plan in one (few) points	L,C,P	III	-	P+I
Non-measurement checks					
Check of #MU: independent computation using exported DICOM plan with accuracy <5%	General check of plan	E,C	IV	-	P
Plan transfer check (i.e. comparing TPS and R&V database) # segments; #MU/segment; gantry angles; segment shape.	General check of plan	E,C	V	-	P
MU plausibility check	Plausibility check of plan		V	-	P

*Due to reconstruction and interpolation algorithms, the dosimetric accuracy is only level II; additionally, the EPID as such is not a validated absolute dosimeter.

§ At present, the limited dosimetric accuracy holds for EBT-2 and -3 films, as (2013). See also general remarks on measurement equipment in section 2.2.

At present, it is difficult to obtain a system that combines high dosimetric accuracy with a high spatial resolution. EPID dosimetry is not yet widely available and film dosimetry is at present (2013) changing rapidly. If the institute is not equipped to perform film dosimetry, they are advised to contact institutes that can help them with this. Other high-resolution dosimetry equipment such as liquid-filled ionisation chambers may become available as an alternative to film measurements.

4.6. Development of class solutions

For every common treatment site, a class solution needs to be defined, helping to ensure that an IMRT plan has stable quality, predictable properties and minimal variations between planners. A properly defined class solution is the basis of a controllable QA flow.

If another institute has already developed a similar class solution, it is highly recommended to pay a multi-disciplinary (physicist, Radiotherapy Technologist (RTT), physician) visit to the more experienced institute to prevent reinventing the wheel and thereby shortening the learning curve. This is in particular useful if the institutes have a similar planning system and linac.

For the establishment of a class solution several conditions need to be fulfilled:

- Prerequisites:
 - The treatment planning system has to be commissioned for the type of IMRT that will be used in the class solution, e.g.: sliding window versus step and shoot close-in technique. The limitations of the TPS have to be known and accounted for in the development of the class solution.
- Up-front input:
 - A set of physical parameters that define planning of the class solution. These may include gantry and collimator angles, beam energies, maximum number of monitor units for the composite plan, for SS minimum number of monitor units per segment, minimum segment size, maximum number of intensity levels and for SW maximum gradient in fluence and minimum size of peaks.
 - A definition of the anatomical structures involved, as well as a protocol for delineation including imaging modalities and window/level settings to be used.

- A definition of the margins for PTV expansion. The basis of these margins should be well founded, considering the imaging modalities used for delineation, the means of patient fixation and the method used for setup verification and correction.
- A set of dose requirements for the target and the organs at risk, and a description in which order concessions to dose requirements must be made in case of a conflict between the individual dose requirements. Dose requirements can be (depending on the possibilities of the planning system), but are not limited to: mean, maximum and minimum doses, Dose Volume Histogram (DVH) based constraints and Equivalent Uniform Dose (EUD).
- Dummy run evaluation:
 - We recommend testing the class solution for at least five different patients. The dummy runs have to be performed end-to-end which means that one has to start with acquisition of the imaging data (e.g. CT of the phantom used) and to measure final delivery of the dose. For a new class solution we recommend using class I methods for both dosimetric accuracy and spatial resolution (see table 4.1). The entire trajectory must be performed in the environment in which the regular clinical plans are delivered to avoid bias due to differences between test/research and regular clinical settings.
 - The dummy run has to be performed with a (homogeneous) phantom that resembles as closely as possible the dimensions of the patient at the target area. A plan based on actual patient image data must be transferred to a scan of this phantom and consequently a dose distribution calculated without changing any of the beam data. This dose distribution is the basis for the dosimetric treatment verification.
 - The absolute dose should be verified by measuring one or multiple locations in the phantom where low dose gradients exist in the plan, using a class I dosimetric device table 4.1. The dose must be recorded per beam as well as for the composite plan. The allowed tolerances are a maximum deviation of 5% dose per beam and 3% for all beams combined.
 - The 2D fluence or dose distribution in a phantom should be recorded per beam, orthogonal to the beam direction, with devices that are of class I regarding spatial resolution. In principle the gantry angles from

the plan should be used for the measurements (sagging of the gantry). However, practical considerations may suggest using a gantry angle of 0 degrees for all beams, e.g. for film dosimetry. This distribution needs to be compared to the distribution calculated by the planning system and analysed with a gamma evaluation. For the 2D evaluation the evaluation plane should preferably contain all dose levels present in the plan, including a low gradient area for the prescribed dose levels for the PTVs.

- The data from multiple point measurement devices, with the measurement points preferably distributed in 3D, should be evaluated per beam and for the composite plan. The tools for evaluation as delivered by the vendor should be commissioned carefully.
- If an independent monitor unit calculation program will be used in clinical practice, one or more relevant points should be evaluated. The calculated doses should agree with the planning system within 10% of the local dose for each beam.
- Overall evaluation:
 - During and after the dummy runs, the results of the class solution tests need to be evaluated by all parties involved (physicians, physicists and RTT's). Only after a documented approval of these parties the class solution can be used for patient treatment planning. Clinical use of a considerably new or amended class solution must initially be accompanied by an intensified pre-treatment or in-vivo dosimetry checks.
 - The class solution techniques and criteria should be evaluated annually and updated if necessary.

4.6.1. Pre-treatment verification

Purpose

To ensure correct transfer of the treatment parameters and verify the dose delivered by the linac, before the actual patient treatment starts. Additionally, this verification can be used to determine whether or not the IMRT plan is deliverable and does not contain deviant shapes. Finally, the dosimetric and geometric agreement between plan and verification can be checked and should be within tolerance.

Background

Depending on the experience of the institute regarding the integrity of the data transfer, different actions are required to ascertain correct transfer of the plan parameters. As always, a careful balance between effort and risk is needed.

For the level I/II checks, a gamma evaluation needs to be performed. Additionally, it is expected that the institutes devise level IV and/or V methods (Table 4.1) for pre-treatment checks during the development of a new class solution. These checks have to be ready and in full (automated) clinical use before any higher-level methods can be abandoned.

At present, film dosimetry is not readily available for all institutes. The same holds for EPID dosimetry. Institutes are encouraged to use EPID and film dosimetry if available, or strive to implement these techniques in their clinic. A valuable alternative could be 3D phantoms with multiple measurement chambers / diodes.

The (multiple) point dose pre-treatment verifications executed on homogeneous phantoms should be performed in low-gradient regions, between 80% and 120% of the reference level or reference levels. The agreement should be better than 3% local dose. In addition, measurements in relevant low dose regions may be warranted, for example to evaluate the dose in organs at risk.

Only if 2D/3D in-vivo dosimetry is in place, pre-treatment checks (class I-IV) may be omitted entirely, provided that the treatment consists of more than 5 fractions and that a procedure is in place that verifies the transfer of the data from the TPS to the linac/Record & Verify System.

4.6.2. In-vivo dosimetry

Purpose

To ensure correct execution of the treatment delivery of the linac, during the actual patient treatment.

Background

In-vivo dosimetry is at the end of the treatment process to catch errors in the execution of a radiotherapy treatment plan. All other means described in this NCS report describe ways to *prevent* treatment execution errors; in-vivo dosimetry is the optimal way to *detect* patient geometry changes and treatment execution errors

(116). Depending on the type of in-vivo dosimetry, 1D, 2D or 3D verification can be achieved.

Recommendations

2/3D verification

If the institute has access to EPID dosimetry, in-vivo dosimetry with the EPID is highly recommended for at least three fractions, early in the treatment. This should identify systematic deviations between the intended and delivered dose distributions. Such deviations may occur due to e.g. transfer errors (depending on pre-treatment checks) and patient geometry changes between the acquisition of the planning CT and execution of the treatment.

Point dose verification near organs

In case of a considerable entrance or exit dose near a (superficially located) organ at risk during treatment execution, point dosimetry near that organ may be warranted. This may be the case if for example an eye is located near an entrance or exit beam. In such cases, TLD and MOSFETS can be very useful. The accuracy is probably limited to 5% (117,118). The use of ionisation chambers is not recommended due to poor positioning possibilities.

4.6.3. Re-evaluation of class solutions

Every three months, a level II method, dosimetric as well as spatial, check should be performed for four randomly chosen patient plans. These four plans should be a representative selection of class solutions used by the institute. Each class solution should be checked at least once every two years.

4.7. Recommendations on patient-specific QA

4.7.1. Purpose

To present guidelines for a proper patient-specific QA for IMRT treatments.

4.7.2. Background

The required class for dosimetry and resolution and frequency of pre-treatment checks depend on the experience the institute has with the IMRT class solution under consideration.

For few-segments IMRT, such as for example the field-in-field IMRT for breast cancer patients, we have a separate set of recommendations (see 4.7.3). This type of IMRT is for this part of the recommendations not considered as true IMRT, regarding QA and institutional experience with IMRT.

4.7.3. Development of Field-in-Field IMRT

This type of IMRT is commonly found for IMRT for the treatment of breast tumours. For one beam, the multiple segments are divided in one large segment, accounting for at least 70% of the total dose, complemented with smaller segments. Since the large segment accounts for at least 70% of the dose, this IMRT technique is less demanding from a QA and QC point of view. Therefore, only 5 patient plans need to be verified pre-treatment with class I techniques, both dosimetric and spatial, and 10 patient plans with class I/II dosimetric methods. These patient plans are additionally used to verify correct plan transfer.

4.7.4. No Institutional Experience with IMRT, development of a class solution

This is the case when the institute starts with implementing IMRT for the first time, also if a Field-in-Field IMRT has already been implemented.

In this case, the institute is recommended to successfully perform thorough pre-treatment checks with combinations of class I methods, to cover both dosimetric accuracy and spatial resolution, for at least 30 patient plans. This number may include patient plans that have been used in the development of the class solution if these can be considered representative for the class solution that will to be used clinically.

The 30 patient plans need to be evaluated in order to assess the quality of the class solution. After the first 30 plans, the next 20 plans need to be checked with class I/II dosimetric methods and class II methods regarding spatial resolution.

4.7.5. Institutional Experience with IMRT, development of a new class solution

This is the case if the institute has already experience with an IMRT class solution for over 30 patient plans for a different class solution.

If the new class solution is, to some extent, similar to the existing class solution, the institute is recommended to perform pre-treatment checks with class I methods regarding both dosimetric accuracy and spatial resolution, for at least 5 patient plans. This number may include any patient plan that has been used in the development of the class solution if these plans can be considered representative for the class solution to be used clinically.

If the class solution is dissimilar, for example due to considerably smaller segments or fewer MU's per segment, at least 10 patient plans should be checked pre-treatment with class I dosimetric and spatial methods.

4.7.6. Institutional Experience with IMRT, existing class solution

This is the case if the institute has already experience with the IMRT class solution for over 100 patient plans. If no transfer errors have been found, the pre-treatment checks may be limited to an MU Check (class IV). However, if in-vivo dosimetry is being used the pre-treatment verification methods may be diminished to dosimetric level V. It is recommended to perform a risk analysis on treatment plan transfer and execution before pre-treatment checks of level I/II can be abandoned (see chapter 5).

4.7.7. Timing of patient-specific QA for existing class solutions

In principle, pre-treatment verification should be performed before the start of treatment. However, if the institute has a good deal of experience with IMRT, for example more than 100 treatment plans, one may choose to start treatment before the verification has been performed and evaluated.

Treatment schedule of more than five fractions

In this situation, at least level IV methods need to be performed in the first treatment week of treatment. The results of either pre-treatment or in-vivo dosimetry need to be evaluated before >20% of the total number of fractions is given.

Treatment schedule of five fractions or less

In this situation, at least level IV dosimetric methods need to be performed before start of the treatment.

If only level IV dosimetric checks are used we advise to use a warning level of 5% deviation from the expected values, in contrast to 10% used elsewhere. Above this level, an expert (medical physicist) should be consulted.

5. Risk Analysis in IMRT

5.1. Purpose

A reliable QC procedure is a very helpful method to guarantee that medical devices operate in a predictable and safe fashion. However, proper functioning of the equipment and software alone does not necessarily imply safety of the complete treatment procedure.

To ensure a safe introduction and application of IMRT techniques, the entire clinical workflow has to be analysed for possible hazardous process-steps. The goals of such an analysis are:

- Designing a workflow for preventing incidents and accidents
- Obtaining insight in the complexity of the process
- To have a basis to design a QA /QC program and to implement safety checks specifically targeted at the vulnerable steps of the process tree

It is well accepted that risk assessment is a valuable tool in a patient safety management system. This notion has resulted in the development of several guidelines and norms in health care that constitute risk analysis. The Dutch hospital community has imposed the use of a safety management system, in which risk analysis is mandatory. This safety management system will complement the quality management system to a new norm, which will be liable for certification (HKZ/NEN) or accreditation (NIAZ) as such (NTA 8009-2007 (119)). This community has also published a report on the safety of medical devices, in which risk analysis is integrated. Risk assessments are also performed by the Nederlandse Vereniging voor Klinische Fysica (NVKF, Dutch community of medical physicists) of potentially hazardous procedures in Nuclear Medicine (120,121).

In 2003 the American Association of Physicist in Medicine (AAPM) has initiated Task Group 100 (122). Their task is to review and update the previous reports according to the latest insights and guidelines, to advise on a structured systematic QA program approach that balances patient safety and quality on the one hand with commonly available resources on the other hand, and to make a risk assessment for the entire radiotherapy process. The Healthcare Failure Mode and Effect Analysis (HFMEA) method and intermediate communications of this task-group inspired us to include a risk assessment for IMRT in this report.

In this chapter we sketch a practical approach how to construct a prospective risk analysis according to the SAFER (123) methodology (Scenario Analyse van Faalwijzen, Effecten en Risico's). In 2012, a series of risk analyses for IMRT treatments were made at several institutes in the Netherlands and Belgium. Based on the outcomes of these assessments, a list was composed of steps in the process of introducing and performing IMRT, which, in our opinion, are prone to error or failure. This list will not be exhaustive but rather a comprehensive list of expected or proven vulnerable areas in the IMRT process tree. The user is strongly encouraged to expand this list to the local situation or needs. IMRT related risks are risks that are either new to IMRT or change the incidence rate or severity compared to conventional RT.

5.2. *Scope*

This assessment only targets aspects that are specific for the introduction and application of IMRT techniques. Numerous other risks can be identified in the radiotherapy process chain, but they are beyond the scope of this report. For a more exhaustive risk assessment we refer to the report of TG100 (work in progress, (122)) and several other publications (124–126).

Furthermore, we distinguished three phases or areas in the IMRT process chain. Errors may occur either in the regular treatment workflow, the adjustment / introduction of a new IMRT technique, or in the process of QA. In the error analysis only root-causes are evaluated.

5.3. *Legislations, regulations and initiatives*

Directives on patient safety from the council of the European Union are scarce. Council Directive 97/43/EURATOM (127) was designed to protect the health of individuals against dangers of ionizing radiation in medical practice but it focussed on the reliability and the correct use of ionizing equipment, rather than the safety of processes chain of medical care itself.

In 1999 the Institute of Medicine in the USA published a report “to err is human” (128) on safety issues in medical practice. The results of this study were very alarming and have led to a worldwide impulse in patient safety management.

One conclusion was that a reporting system and database of accidents is mandatory to improve the safety of the patient. This database should be filled either on a voluntary or mandatory basis. Since accidents are fairly rare in radiotherapy, building such a database is a slow process and the benefits are minor. Upscaling from a local hospital database to a national or worldwide database is a very efficient manner to share data on accidents. Also, including incidents and near-hits on a voluntary basis is a manner to increase the efficiency of a database. Several countries (France, Denmark, the Netherlands, UK, Canada, USA and Australia) have established such a database on several topics (129).

In the Netherlands all hospitals have committed themselves in 2008 to establish a safety management system (VMS). This system should enable the creation of an environment for safe reporting of near-incidents, incidents and accidents. In each hospital, a committee analyses these reports for the purpose of prevention. Linking these committees between hospitals will increase the number of reports and support the appreciation of trends, of which the PRISMA-RT community is an example. A safety management system also constitutes retro- and prospective risk analysis. The basis of the safety management system is defined in a norm: Nederlands Technische Afspraak (NTA) 8009:2007 (119).

Also in Belgium initiatives to set up a safety management system are highly supported by medical professionals, but a formal basis is lacking.

Internationally, there are several large parties which continuously advocate the need for a common database of incidents and accidents for prevention by means of risk analysis, so legislations can be anticipated in the near future in Europe and USA. In Europe ROSIS (130) is an organisation within ESTRO where incidents and accidents can be reported voluntarily and counselled in an anonymous manner. The International Atomic Energy Agency (IAEA) and, in the USA, ASTRO (131) and the Nuclear Regulatory Commission (NRC) (132) strongly support such databases, whereas the Joint Commission on Accreditation of Healthcare Organizations (TJC, formerly JCAHO) strongly advocates the use of prospective analysis in medical practice.

5.4. *Available Methods*

In this report we will focus mainly on the practical, Dutch implementation of HFMEA (SAFER, Dutch acronym for “Scenario Analyse van Faalwijzen Effecten en Risico’s”) methods of constructing a risk inventory. However, there are more methods that can give a good insight in the hazardous steps of the IMRT process chain. Here we will not explain these methods, but the referenced articles will give a good starting point for using these tools.

- PRISMA-RT: retrospective (133,134). Prevention Recovery Information System for Monitoring and Analysis extended for radiotherapy. Based on incidents, causes are identified. These causes are classified using the Eindhoven Classification. A plan for improvement can be deduced from the Classification Action Matrix.
- SIRE: retrospective. SIRE is an acronym for Systematic Incident Reconstruction and Evaluation. Reconstruction of a single (major) incident and analysis of root causes. Typically performed after a severe incident or incidents with a high repetition frequency.
- Bow-Tie. Retrospective / prospective. Identification of causes of an incident, possible outcomes and safety barriers (135).
- Hazard and Operability (HAZOP) study and Safety Integrity Level (SIL). HAZOP is a prospective stress test to establish a risk profile that is used to determine which measures are to be taken to decimate high risks to acceptable levels.

5.5. *HFMEA / SAFER*

(Healthcare) Failure Mode and Effects Analysis is a method for prospective risk analysis and has been described in detail elsewhere (136). It was first developed at the VA National Center for Patient Safety in America by DeRosier et al. In 2006, a ZonMW project resulted in a Dutch translation of HFMEA that was adopted to the Dutch healthcare situation (137,123,138). Not only the risk assessment itself is described, the entire process of risk management is outlined. SAFER deviates slightly from HFMEA on some points:

- SAFER is not just a prospective risk analysis. It is a complete paradigm to improve safety in a healthcare environment (process → problem → solution).

- SAFER only categorizes frequency and severity of failure modes, whereas HFMEA method also takes into account the probability that an error passes without being detected.
- SAFER uses an arbitrary risk score, divided in 4 categories: very high, high, low and very low, while HFMEA (and TG-100, (122)) originally used a numerical classification with more discretization levels that allows for a more subtle differentiation between risks.
- For high risk events, in SAFER, an additional evaluation is performed. Based upon the criticality of the process, it is decided if additional safety measures are needed and what they comprise.

In this chapter, we will focus on the process description and the risk analysis steps of the SAFER method because of its applicability to improve patient safety and use in Dutch hospitals as part of a safety management system.

The process chain can be visualized by a so-called process tree (125). This process tree is a schematic diagram of all the processes involved in the treatment of a patient. The 'trunk' is formed by the main process-steps leading to the treatment of a patient, i.e. admission, imaging, treatment planning, moulding, position verification and treatment. Branches show the sub-processes that are necessary to complete the main process-steps.

To investigate IMRT-related risks, only the radiotherapy process-tree for external beam treatments is relevant.

After constructing the process-tree for external beam, an inventory of possible *failure modes* of each (sub-)process can be made. A failure is an unexpected or unwanted outcome of the process-step that remains undetected. For each failure mode a *Frequency* and a *Severity* category is estimated. The frequency of a failure mode can be based on a retrospective analysis of a sub-process and is divided in categories weekly, monthly, yearly and less than once a year. Severity categories are: Minor, Moderate, Major and Catastrophic. These categories are ordinal, with a non-linear range. For radiotherapy purposes, the severity needs to be translated in terms of specific radiotherapy outcomes, like wrong fraction dose, suboptimal treatment plan etc. Experience with SAFER in radiotherapy points out that the addition of an extra frequency category (a few times a year) and possibly an extra severity category may be advisable.

Depending on the frequency and severity categories, a failure mode can be placed in risk inventory matrix. In red are the processes with a (very) high risk score.

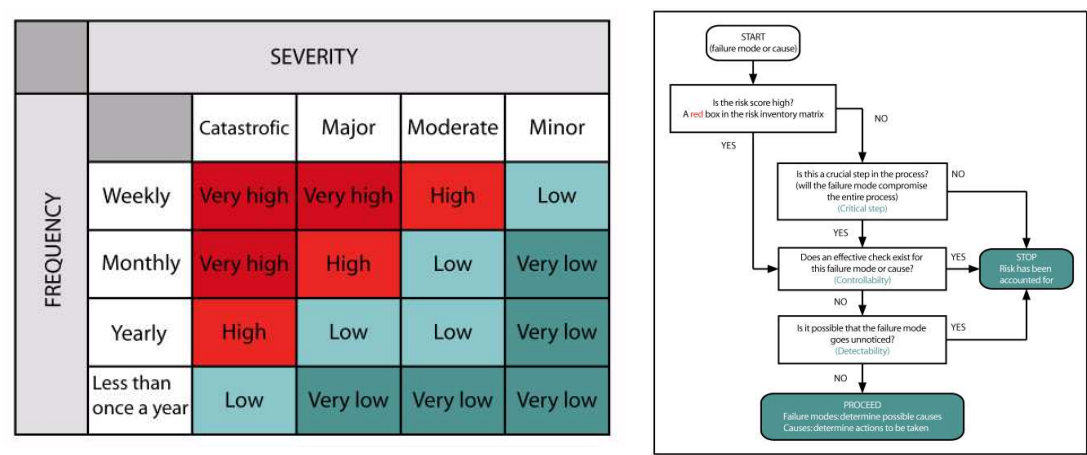


Figure 5.1. Left: Risk inventory matrix according to SAFER. The frequency and severity category of a failure mode determine the risk score. Right: Procedure to analyse high risks. Both figures have been reproduced and translated from (138) and (136).

Failure modes with a high risk score are further analysed using a procedure schematically depicted in figure 5.1. The high risks are checked for their detectability, whether effective checks exist, and to see whether the impact may be critical for the entire process chain. Action should be taken when the failure mode suffers from poor detectability, ineffective checks or a large impact of the failure mode

5.6. SAFER in IMRT – design of the Prospective Risk Inventory

In 2012 a series of prospective risk analyses targeted at the IMRT treatment chain, were conducted at several radiotherapy institutes in the Netherlands and Belgium. These analyses were performed according to the SAFER methodology. A template for this method can be downloaded at VMS (139).

Goal of these inventories was to get a basic idea of the areas of high risk in IMRT. The analyses are very much specific to the workflow at the individual institutes and the inventories are not exhaustive. Therefore only general conclusion can be drawn

from the results. We advise each institute to follow the SAFER workflow themselves for the most complicated IMRT technique that is used.

As specified before, three aspects of the IMRT process can be identified. One related to the clinical workflow, one related to the introduction of a new IMRT technique and one related to the machine adjustments resulting from the IMRT specific QA checks.

5.7. SAFER in IMRT - results

In this section the outcome of the SAFER analyses in general terms will be described.

The failure modes listed are not necessarily exclusive to IMRT. Some of the failure modes are more likely to occur in IMRT techniques compared to conventional or conformal techniques. All process steps are listed where the use of IMRT is likely to have an increased risk score compared to conventional or conformal techniques.

A list of often mentioned failure modes with a high risk score is presented, where a division in three areas is made.

- Failure modes in the process branch of the IMRT clinical workflow
- Failure modes in the process of developing and introducing an IMRT technique
- Failure modes in the machine adjustments following IMRT QA procedures

For each failure mode in the following tables a frequency and severity score is listed according the risk inventory matrix in figure 5.1. For frequency, 1 corresponds to less than once a year, 4 with a weekly occurrence, For severity, 1 corresponds to minor consequences, 4 to catastrophic. For application in radiotherapy, catastrophic means adverse clinical effects for one or more patients, e.g., with deviations from the intended dose of 20%.

Table 5.1 Examples of failure modes in the IMRT treatment workflow

No	Risk	Details	F	S
1.	Wrong plan version	Description: Not the approved plan, but an alternative plan was transferred. Failure mode: wrong plan was transferred to the linac. Cause: treatment plan names look alike, wrong selection was made in TPS interface, wrong plan was marked as clinical etc.	2	3

		Outcome: The patient is treated with the wrong plan possibly resulting in overdosage or underdosage.		
2.	Plan changed during transfer to R&V system and linac	Description: Plan was mistakenly changed during/after transfer to the linac (e.g. segment loss). Failure mode: Some or all segment shapes were lost. Cause: R&V software allows easy adaption of plans / removal of segments / a lot of manual plan corrections have to be made during transfer. Outcome: Wrong plan is delivered.	1	4
3.	Patient was not eligible for IMRT	Description: Patient not eligible for IMRT. May result in wasting resources or suboptimal treatment. Failure mode: IMRT was indicated where a more simple plan would yield the same clinical effect. Or patient is not able to lie down still during the course of an IMRT treatment. Cause: Administrative errors or problems in communication. Outcome: Resources wasted on ineligible patient.	2	1
4.	Too many small segments with many MU were accepted	Description: For specific patients a plan may be constructed using an appropriate class solution containing unwanted segments. Failure mode: A plan was approved that cannot be delivered accurately. Cause: A plan was created that does not meet the criteria of its class solution. Outcome: Suboptimal plan or a dose difference.	3	2
5.	Expansions or support structures incorrect	Description: When expansion or construction of aiding structures is done manually, wrong expansion parameters may be entered. Failure mode: Wrong aiding structure was constructed. Or objectives set to an unintended aiding structure. Cause: Expansion or construction of aiding structures was done without scripts, macro's or other automated procedures. Wrong margins were entered. Verification method is lacking. Outcome: Suboptimal plan.	3	2
6.	Incorrect isodose level representation	Description: dose distribution is evaluated by isodose lines. Failure mode: incorrect dose levels presented. Cause: several PTV's with different dose prescription levels. Outcome: Overdosage or underdosage.	1	3
7.	Patient positioning	Description: incorrect positioning of patient with conebeam. Failure mode: incorrect isocentre transferred. Cause: several PTV's with different isocentres. Outcome: severe underdosage.	2	2

Table 5.2 Examples of failure modes in the development / introduction of an IMRT technique

No	Risk	Details	F	S
8.	Scripting errors	Description: Scripting, macro's or other automated procedure errors (e.g. Optimization constraints). Failure mode: incorrect constraint settings are used.	2	4

		Cause: scripting errors cause systematically wrong settings or operations. Wrong expansions → wrong margins. Outcome: a suboptimal plan is delivered.		
9.	Class solutions not valid anymore	Description: protocols or planning parameters, i.e., dose levels, are changed without checking the validity of the class solution. Failure mode: a suboptimal plan is delivered. Cause: solution was not validated for revised dose levels. Outcome: suboptimal plan is delivered.	2	3
10.	No suitable verification technique used	Description: Limitation of measurement method is not fully recognized. Failure mode: Measurements are not reliable. Ionization chambers can be too large to determine penumbras accurately, a matrix of ionization chambers is too coarsely spaced to notice abutting field effects, absolute film dosimetry may not be accurate. Cause: lack of education. Outcome: Overdosage/underdosage of 3% to 10% are possible.	1	3
11.	Wrong modelling of MLC / leaf tip in TPS	Description: deviation between calculations and measurements in low dose regions. Failure mode: Transmissions not accurately modelled. Cause: insufficient attention to modelling of low dose regions during acceptance and commissioning of TPS Outcome: Penumbras, tongue and groove effects, shallow gradients are not optimally modelled in the TPS. Sub-optimal treatment plan.	1	4
12.	Incorrect output factors	Description: Incorrect output factors in TPS. Failure mode: output factors were not accurately determined. Cause: Wrong measurement techniques were used, especially for small fields. Outcome: Dose difference.	1	4
13.	Incorrect Use of biological objectives	Description: optimisation is performed with incorrect objectives. Failure mode: Incorrect objectives or incorrect parameters of the objective are used. Cause: Type error, or it is not clear what the best objective or correct parameter is. Outcome: Wrong or suboptimal plan delivered.	2	2
14.	Incorrect analysis	Description: Gamma analysis is performed with a set of parameters: distance to agreement (DTA). Failure mode: incorrect parameter set. Example: DTA = 5%/1mm instead of 3%/2mm. Cause: typing error, wrong protocol. Outcome: false positive or false negative indication. Deviations between planning and measurements go unnoticed.	2	2

Table 5.3 Examples of failure modes in machine adjustments after IMRT specific QA.

No	Risk	Details	F	S
15.	Wrong leaf bank calibration after strip test	Description: measurements demonstrate the need for new leaf calibration Failure mode: leaf calibration performed on the incorrect leafbank Cause: error due to reduced attention in combination	2	3

	of a lack of verification measurements Outcome: significant local dose deviations		
16.	Too much play on machine isocentre Description: For old machines the mechanical properties may change over time and become inadequate to continue delivering IMRT-treatments. Failure mode: Delivery of IMRT-segments is imprecise. Abutment problems. Cause: Too much play in the mechanical isocentre. Outcome: Suboptimal plan delivery.	1	4
17.	<u>Incorrect analysis</u> Description: Gamma analysis is performed with a set of parameters: distance to agreement (DTA) Failure mode: incorrect parameter set. Example: DTA = 5%/1mm instead of 3%/2mm Cause: typing error, wrong protocol Outcome: false positive or false negative indication. Deviations between planning and measurements go unnoticed.	2	2

Failure modes due to treatment with wrong machine parameters may be caused by errors in adjusting these parameters after QA tests or by gradual drift due to insufficient QA. Both result in treating with wrong machine parameters. Again, only failure modes are considered that are more pronounced in the application of IMRT.

5.8. Risk profile in radiotherapy

A lot of work has been done to categorize incidents and accidents in radiotherapy in order to obtain risk profiles. In this paragraph the results of three major reports are shortly discussed.

In the literature several databases are used to determine the causes of the specific events. Both the databases of Nuclear Regulatory Commission (NRC) (140) and The Joint Commission (141) (TJC, formerly the Joint Commission on Accreditation of Hospitals (JCAHO)) show a large contribution of human errors (~20%) as cause of incidents. It is interesting from a perspective of prevention to determine co-factors that enable these human errors to occur.

Based on incidents with radioactive material in Nuclear Medical Events Database (NMED) of the NRC and other databases several co-factors were determined (140). Many of these factors are applicable to external beam radiotherapy in general, and to some extend to IMRT specifically. One co-factor of human errors is the black box aspect of automation. As with sophisticated automation, the role of the human in the process shifts from an operator towards a checker. Consequently there is verification whether the process has been completed, but it is not directly clear how it was completed and/or if there were any disturbances during the process. Some process

steps in the IMRT chain are highly automated and can result in reduced control and diminished understanding of the underlying process such as for instance automatic plan set up, automatic organ contouring and optimisation routines.

Furthermore, this black box aspect of IMRT inhibits plausibility checks that are used for conventional techniques like similarities between field shape (Beam's eye view) and shape of the target volumes and the relation between number of monitor units and the total dose prescribed. These checks cannot be used for IMRT because many field or segment shapes are typically non-intuitive and/or the number of MU is only weakly correlated with total dose.

In a report of the UK Chief Medical Officer 2006 from a radiotherapy incident database (142) three origins of failure were frequently reported: 1) Misinformation or error in data transfer, 2) Lack of training in new techniques and 3) Checks for process failures do not work.

The first issue holds for radiotherapy in general. The same conclusion was drawn from a literature study performed by the WHO (143). Data transfer should be done digitally, and if manual data entry is not mandatory it should be discouraged. On the other hand, a critical attitude towards computer programs is warranted. Issue 2) is for IMRT very important. IMRT planning requires training and understanding of technical features. Procedures during treatment planning are highly automated and require knowledge of how this complex system works. Insight in the limitations of the planning system is required. Plans that contain highly irregular segments or result in large dose gradients in the proximity of critical structures should be presented to a physicist. Training is also the key to the human error reduction (144) as found in the NRC report. Issue 3): Incorporated checks are important means to make the process safer, but be critical whether these checks are independent from each other and have a low pass rate for the tasks they were designed for. Pre-treatment patient specific QA can reveal errors but not all types of errors (116).

The International Commission on Radiological Protection (ICRP) report is based on literature about how to prevent accidental exposures from new external beam irradiation therapy technologies (145). They concluded that, in general, radiation accidents are most effectively prevented in areas with a well implemented safety culture. A patient safety programme should be integrated in the working process. Ingredients are: clear responsibilities and a blame-free reporting system. Trends in incidents can be appreciated and safety improvements are not only initiated by

incidents. Also the report stresses the importance of an adequate education that matches the tasks performed.

Regarding new irradiation techniques the report notes the omnipresence of computers. There lies a risk: people tend to rely heavily on the output of computer programs. Especially if there are no simple means to verify these results or no insight is provided how the program works. This is a hazardous situation that can only be solved by education, training and a critical attitude towards computers, computer software and their results.

5.9. Recommendations

Based on the failure modes listed in the previous section, a few general recommendations can be made to address some of the most vulnerable steps in IMRT. It must be stressed that the list is not exhaustive and may not completely reflect the workflow in all departments.

It is therefore suggested that each department constructs its own process tree. With this tree the interaction between process steps becomes apparent and it reveals how errors may migrate from one process to the other. Furthermore, it is advised to set up a prospective risk analysis for at least the most complex external beam process. A prospective risk analysis, specific for each department, should be conducted to reveal additional weaknesses in workflow. Retrospective analysis of incidents can be helpful to address certain classes of risks and to assess the incidence rate.

In the next table the recommendations are summarized. In the second column the number of the specific risk / failure mode is listed. These refer to the numbers in tables of section 5.7.

Table 5.4 Recommendations

Recommendation	Addresses Risk #
Have the MD sign the plan digitally in the TPS, and provide a way to lock the plan status. Make sure that only approved plans can be transferred.	1
Check plan integrity before delivery to guarantee delivery of the intended plan.	2.
Thoroughly check scripts, macro's or other automated procedures before clinical introduction. Have your script reviewed. Use a standard group of test patients to check the validity.	8, 6, 5.
After each change IMRT prescription or optimization settings, check the validity of the class solution. Is it still optimal?	4, 9.
For each patient plan check whether the constraints for the patient plan meet the requirements for the class solution.	4

Independent dose check by measurement or calculation.	1, 2
Have an independent plan check after the planning process is complete. Check for irregular, segments. MU per segment, basic optimization and calculation parameters. Further, recheck margins / expansions used.	1, 2, 4, 5
If possible, use scripts or macros to automate steps in the planning process that are error prone. If the TPS does not facilitate scripts or macro's, adjust procedures in a manner that specific errors cannot occur	5
Secure the procedure of introducing new IMRT techniques or adapt existing techniques.	3, 9, 10, 12
Implement a test to evaluate the entire radiotherapy process (End to End test) using a single phantom, Different aspects can be evaluated: logistics, dose accuracy, patient positioning issues, transit times , etc.	8 - 17
Have a proper QA program for the QA equipment.	10, 12
Proper education or training of staff.	9 - 13

A general and obvious point can be made regarding the adjustment of machine parameters. It is clear that each adjustment should be followed by a final independent check of the corrected parameters. It may be a good idea to have a time-out procedure when large adjustments need to be made following from QA measurements. Try more than one measurement method and ask someone else to reproduce the measurement independently.

IMRT treatment planning and delivery are complex processes that can be susceptible to errors when control fails. Checks, double checks and verification are very important and simple methods to catch errors in this condition. Another approach to reduce errors or incidents is by prevention, i.e. to prevent errors or incidents to occur. In order to prevent incidents a safety culture should be established that is supported by the entire staff. A fundamental aspect in error prevention is education. It is of crucial importance that the level of education matches with the tasks to be performed. The relationship between human errors and adequate education has been demonstrated in several articles (146,147). In our list of examples errors related to education and training of staff can result in systematic errors and affect many treatment plans. Given this nature of these errors proper education is mandatory. In a paper of Moran et al. (148) and others (149) the specific needs for education and responsibilities in IMRT are clearly summarized. They also stress the importance of intense communication between the professionals, as it was assessed that the IMRT process consisted of many process steps, including 15 hand-offs. These hand-off moments are characterized by a shift in the responsibility, of the one professional to the other, for a process step in the treatment chain. In these hand-offs, the presence and quality of the required information is of utter importance for the professional to perform its task in a correct and safe manner (150).

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