

Out-of-field Radiation Risks in Paediatric Proton Therapy

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Seventh NCS Lustrum – Proton Therapy
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NRF-iThemba LABS: A national research facility in South Africa

30 years of operations with the Separated Sector Cyclotron (SSC)

Collaboration network with South African universities, institutions and international partners

P(66)/Be neutron therapy unit (29 MeV neutrons): routine treatment started in 1989

Passive double scattering proton therapy (PPT) unit (200 MeV protons): routine treatment started in 1993



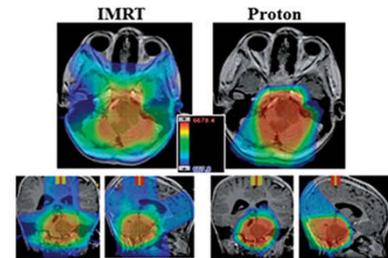
Introduction: Clinical advantage of proton therapy (PT) for childhood cancer

Clinical Rationale for PT: Inverted depth dose profile

- **Maximum dose at tumour location**
- **Sparing of surrounding normal tissue**

Integral dose is a factor of 2-3 lower for protons compared to photons

- **Impact on secondary cancer induction**



Levin et al – Br J Cancer 2005

Children: Higher radiosensitivity and longer life expectancy, resulting in a 2-3 times higher risk for radiation-induced solid tumours and 3-5 times higher risk for radiation-induced leukaemia (UNSCEAR report 2013)

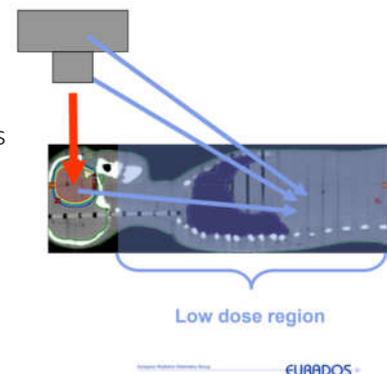
The sparing of normal tissues and the reduction of integral dose makes PT the preferred irradiation technique for treating childhood cancer

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Introduction: Stray radiation produced in PT

Dose outside the target volume?

- **X-ray based RT (incl. IMRT):** predominantly photons scattered in linac head and in patient, but when the energy is high enough neutrons are produced due to photonuclear interactions (above 8-10 MeV)
- **PT:** primary protons and secondary particles, most importantly neutrons, are inevitably produced through nuclear inelastic reactions with components of the beam line and in patients' body



Two beam modulation techniques in PT:

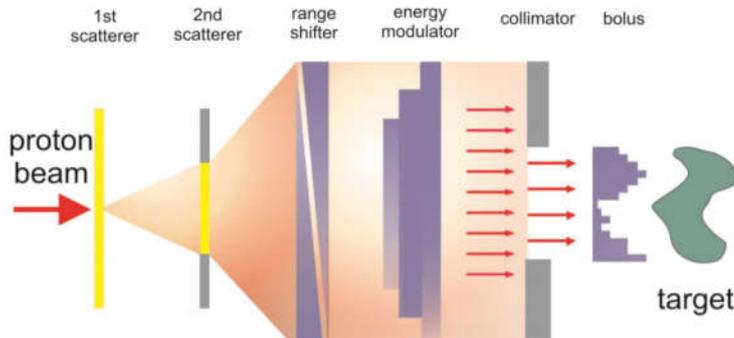
- Passive double scattering proton therapy (**passive scattering PT**)
- Active pencil beam scanning proton therapy (**active scanning PT**)

“Internal” and “external” neutron production

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Introduction: Stray radiation produced in PT

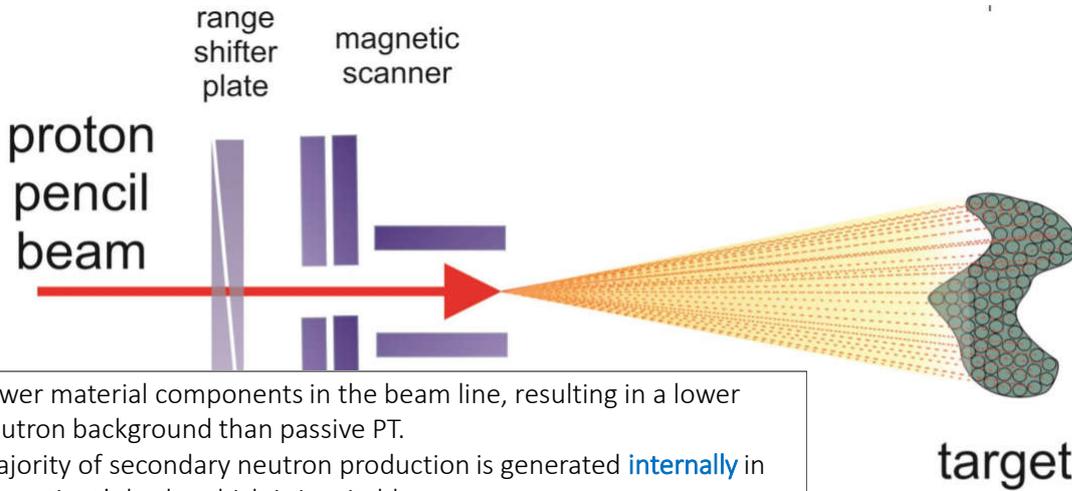
Beam Modulation Technique: **PASSIVE**



Majority of neutrons is produced by interaction of high energy protons with material components of beam line, with the largest source of neutrons the collimator close to the patients. Neutron production is dominated by **external neutron component**

Introduction: Stray radiation produced in PT

Beam Modulation Technique: **ACTIVE**



Fewer material components in the beam line, resulting in a lower neutron background than passive PT. Majority of secondary neutron production is generated **internally** in the patient's body, which is inevitable

Introduction: Stray radiation produced in PT

The mixed radiation field produced in proton therapy where protons are accelerated to therapeutic **energies** (60-250 MeV) can be divided into high and low LET components:

High LET components: neutrons and charged nuclear fragments (helium ions, deuterons, and tritons)

Low LET components: primary protons, elastically scattered protons, photons and delta electrons

In passive PT, the secondary neutron energy spectra are characterized by a **low-energy peak (<10 MeV)** and a **high-energy neutron peak (>10 MeV up to the proton energy)**, however, the high energy peak contributes most to the total neutron dose

Next to the influence of the beam line configuration, **the proton energy** will also influence the neutron production as well as **the distance from the field edge**. The contribution of the low-energy peak increases with out-of-field distance

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Introduction: Impact of neutrons in clinical proton therapy

Neutrons are known to have a high relative biological effectiveness (RBE) and are arguably the most effective particles in inducing late effects. The dosimetric advantage of protons may be negated to some extent by the production of stray neutrons.

This is of particular concern for paediatric patients!

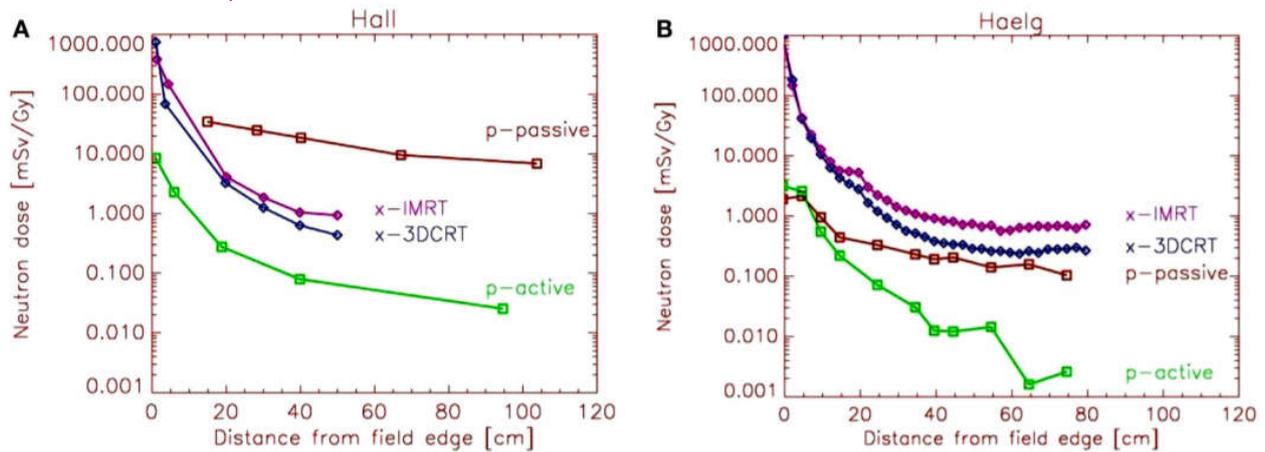
Existing uncertainties in secondary cancer risks due to neutron production in PT:

- **Uncertainties on low-dose cancer risks: linear non-threshold hypothesis?**
- **Controversy in published risk estimations**
- **Limited epidemiological data**
- **Dosimetric challenges**
- **Large uncertainty on neutron RBE and weighting factor**

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Introduction: Impact of neutrons in clinical proton therapy

Controversies in published risk estimates:



Schneider – Front Oncol 2015

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Limited epidemiological data:

Data on long-term secondary toxicity and cancer risks in proton therapy is scarce

One of the first epidemiological studies: a reduction in second cancer risks for active and passive PT compared to X-rays.

[Int J Radiat Oncol Biol Phys. 2013 Sep 1;87\(1\):46-52. doi: 10.1016/j.ijrobp.2013.04.030. Epub 2013 Jun 15.](#)

Incidence of second malignancies among patients treated with proton versus photon radiation.

[Chung CS¹, Yock TI, Nelson K, Xu Y, Keating NL, Tarbell NJ.](#)

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Comparison of cancer risks between different treatment modalities should not be oversimplified. The full dose distribution should be taken into account, particularly the integral dose advantage of PT.

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Introduction: Impact of neutrons in clinical proton therapy

Large uncertainty on the neutron RBE and radiation weighting factors

Neutron RBE depends on:

- Energy
- Dose (and dose rate)
- Biological Endpoint
- Influence of fractionation

Limited data available on neutron RBE for relevant endpoints (carcinogenesis)

Very limited data is available on high-energy neutrons (20-250 MeV)

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Editorial

Better radiation weighting factors for neutrons generated from proton treatment are needed

In radiation treatment of cancer, protons and other heavy charged particles offer an attractive clinical advantage when compared to X-rays with respect to healthy tissue sparing afforded by the charged-particle Bragg peak. Secondary particles, most importantly neutrons, are inevitably produced through nuclear inelastic reactions in the components of particle accelerators and in patients' bodies. Medical proton accelerators are typically operated at energies up to ~250 MeV to ensure an adequate proton penetration in tumours that are located deep within the human body. Secondary neutrons are produced by the scattering spectra and spectra used in passive scattered proton therapy to shape the dose distribution. Although the majority of neutrons originating from organic heavy energy (~1–2 MeV) the dose contribution is predominantly from high-energy neutrons up to 250 MeV^{1,2}. Such irradiation by secondary neutrons leads to a potential risk for patients to develop so-called second primary cancers during the remainder of their life³. Modern healthcare has contributed to the longer survival of cancer patients who are now more likely than in the past to live long enough for these potential adverse effects to be manifested.

At present, several proton treatment centres are in operation around the world and a number of others are in various phases of construction or commissioning. Associated with the booming interest in proton therapy is a heightened awareness of potential adverse effects caused by secondary neutrons. In many discussions related to the potential induction of secondary malignancies resulting from proton therapy^{4,5}, the level of secondary neutron irradiation is often inadequately compared with a competing radiology known as intensity modulated radiation therapy (IMRT) that uses X-ray photons of energies up to 6 MeV. Unfortunately, two dosimetric parameters associated with neutrons, namely the relative biological effectiveness (RBE) and radiation weighting factors, lack necessary confidence and accuracy to the ongoing debate, with the consequence that possibly erroneous conclusions may be reached.

It has long been known that neutrons are more effective than photons (X- or gamma-rays) in causing biological damage. However, both the International Commission on Radiation Protection (ICRP) and the International Commission on Radiation Units and Measurements (ICRU) have not always agreed on how to take into account the RBE of neutrons for the purpose of radiological protection^{6,7}. There are several challenges to the establishment of a precise and useful radiation protection dosimetry system for neutrons. In terms of radiobiological data, neutron RBE values vary considerably as a function of the radiation quality, dose, dose rate and biological endpoint. Values of RBE derived from human data and exposure to high-energy neutrons, which would be most relevant, are not available. Most existing RBE values were derived from results on the induction of dicentric chromosomes in human lymphocytes by neutrons (~20 MeV). Some of the high neutron RBE values (up to 50) reported by the ICRP (10 were for carcinogenesis) in man are based on neutron doses that have an energy <2 MeV^{8,9}. In contrast, very little information is available on the biological effects of high-energy neutrons in the range of 50–250 MeV that would be most pertinent to applications in high-energy proton research accelerators, civil aviation and, in the present case, therapeutically used particle accelerators.

Radiation protection quantities are known to be often confounding and, as a result, people may fail to fully appreciate the lack of precision in values assigned to the RBE or the radiation weighting factor. As summarized by Edwards¹⁰, the earliest attempt to adopt the RBE was made by the ICRP in 1955 when the permissible dose (expressed in rad) related to an appropriate RBE for neutrons as an RBE value of 10 was suggested at that time. Over time, a number of quantities related to

SCIENTIFIC REPORTS

OPEN The origin of neutron biological effectiveness as a function of energy

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G. Selsman¹, M. Puchalski¹, G. Babin¹, J. Meinen¹, D. Alton^{1,2}, M. Trindler¹, F. Kuster¹, E. Schuster¹, M. Puchalski¹, L. Sidorov¹ & A. Oelkers^{1,3}

The understanding of the impact of radiation quality is early and one of the major challenges in radiobiology. To assess radiation exposure, necessary progress on the results of radiobiological studies starting from physical interactions. This particularly true when, already at the physical stage, the radiation field is mixed, as is the case for neutron exposure. Neutron Relative Biological Effectiveness (RBE) is energy dependent, resulting for example, in 10 MeV, varying significantly among different experiments. The aim of this work is to shed light on neutron biological effectiveness as a function of field characteristics, with a comprehensive weighting approach. This brings together transport calculations of neutrons through matter (with the code PROTON) and the production power of the protonated water structure (with the code DNA DAMAGE EVALUATION). Two different energy dependent neutron RBE models are proposed: the first is phenomenological and based only on the characterization of beam energy together with a microtarget size, the second is purely cell-centric and based on the induction of oxidative DNA damage. Results for the two models are compared and found to be in good qualitative agreement with current standards for radiation protection factors, which are agreed upon on the basis of RBE data.

The properties of ionizing radiation in inducing early and late biological effects can be ultimately traced back to physical energy deposition at the cellular and sub-cellular scale. Such energy deposition proceeds through electronic excitation and ionization, thus disrupting the nature of molecular structure and the chemical and physical structure of the biological target. This is equally true when the primary particles themselves, the biological components of the target, are ionizing, such as protons or neutrons. Interest in such a mixed field energy is motivated by the increasing use of heavy ion beams in cancer therapy.

Neutrons in particular undergo a large variety of nuclear reactions in the biological target. They produce a variety of secondary charged particles, which have reactions cross-sections strongly dependent on reaction energy and on the secondary particle field. Furthermore, such reactions can be considered in three interaction regimes: (i) low energy, where only part of their energy is sufficient, the primary of the ionizing particle is required to overcome the binding energy of the target; (ii) intermediate energy, where different nuclear reactions are produced at different depths in the target; (iii) high energy, where a wide range of nuclear reactions, resulting in a wide variety of secondary particles, is produced in the target.

Neutrons are produced in a wide variety of sources, such as nuclear reactors, ionization chambers, and other experimental setups of high energy physics. The RBE data from different experiments are the basis of the establishment of radiation protection standards, which are used to assess the potential of induced dose.

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Introduction: Impact of neutrons in clinical proton therapy

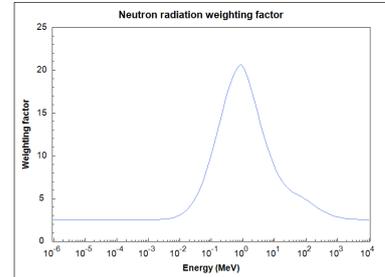
Radiation weighting factor w_R (ICRP) used for cancer risk estimations. Introduced for radiation protection purposes in order to account for the relative detriment of different types of radiation

- Pooling the RBE data from different experiments
- Conversion of absorbed dose (Gy) to equivalent dose H (Sv)

$$H = w_R * D$$

- Depends on energy for neutrons: **maximum of 20 around 1 MeV**. However, most of the dose deposited indirectly via neutrons in PT is deposited by high energy neutrons
- Recent data obtained with human lymphocytes and 60 MeV quasi-monoenergetic neutrons indicate a mean quality factor that decreases with increasing neutron energy to values of <5: **continuous functions used by ICRP**

$$w_R = \begin{cases} 2.5 + 18.2e^{-\ln(E_n)^2/6}, & E_n < 1 \text{ MeV} \\ 5.0 + 17.0e^{-\ln(2E_n)^2/6}, & 1 \text{ MeV} \leq E_n \leq 50 \text{ MeV} \\ 2.5 + 3.25e^{-\ln(0.04E_n)^2/6}, & E_n > 50 \text{ MeV} \end{cases}$$



Radiation Type	Energy	W (ICRP-60)	W (ICRP-92)
Photons	all	1	1
Electrons, muons	all	1	1
Neutrons	<10 keV	5	function
Neutrons	10-100 keV	10	function
Neutrons	>100 keV- 2Mev	20	function
Neutrons	>2 -20 MeV	10	function
Neutrons	>20Mev	5	function
Protons	<2 MeV	5	2
α -particles, fission fragments	all	20	20

Introduction: Impact of neutrons in clinical proton therapy

Strong need for radiobiological input to determine w_R for secondary neutrons produced in PT

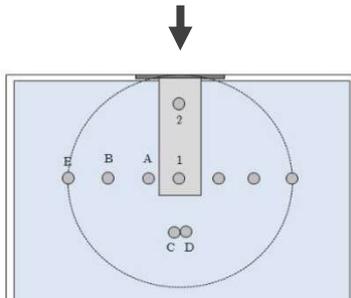
 **ALLEGRO**
 Project ID: 231965
 Funded under: [FP7-EURATOM-FISSION](#)

 **ANDANTE**
 Project ID: 295970
 Funded under: [FP7-EURATOM-FISSION](#)

Material & Methods

Since secondary cancer risk are particularly important for children, all positions are located in a 150 mm radius, representing the diameter of the head of a 5-y old child.

Phantom and Irradiation Set-up:



Beam description:

200 MeV proton beam (PPT)
100 mm R50 range
31mm SOBP
30mm circular field size

Water tank with Perspex sleeves:

Lateral positions (85 mm water equivalent depth):

A: 25 mm from beam axis – 10 mm from field edge
B: 50 mm from beam axis – 35 mm from field edge
E: 75 mm from beam axis – 60 mm from field edge

Longitudinal positions (130 mm water equivalent depth):

Previous studies have shown that the neutron production and the spectral energy distribution were similar in **water** compared to an **anthropomorphic phantom** for PT studies

(Mares *et al.* – Phys Med Biol 2016)

Material & Methods

It is important to study the deposited energy of all stray components

Separate Perspex sleeves were designed for different detectors:

- Neutron bubble detectors (Bubble Technology Industries)
- Li6 and Li7 enriched thermoluminescent dosimeters (TLDs)
- Silicon-on-insulator microdosimeter (MicroPlus™ Probe)
- T2 ionization chamber for measurement of output factors (Gy/MU)

A 'pilot' run of Geant4 Monte Carlo simulations was performed in order to simulate the energy deposition of different stray components in the selected out-of-field positions



MicroPlus™ Probe



Bubble detector

Material & Methods

Radiobiological Endpoints:

Whole blood samples from two adult donors were used: the link between chromosomal aberrations in blood cells and cancer in any organ is strengthened by the evidence that chromosomal aberrations are an indicator of genomic instability, which plays a key role in cancer development.

Cytokinesis-Block Micronucleus Assay (CBMN) - Mutagenesis

Dicentric Assays (DIC) and stable aberrations (mFISH) - Exchange-type aberrations have a link with leukaemia, which is important for childhood cancer survivors



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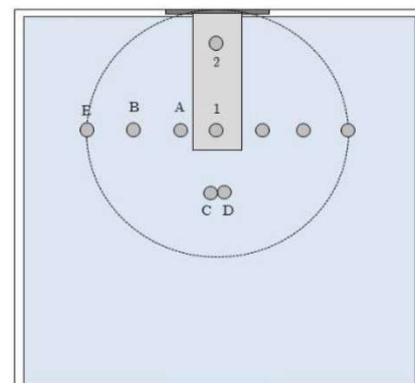
Results

Output Factors:

The output factors indicate that the doses outside the primary field are very low, from 0.6 mGy/Gy for position A down to 0.2 mGy/Gy for position E.

This is in agreement with previous studies illustrating a decrease in absorbed dose outside the primary field with depth.

Positions	Output factor (Gy/MU)	STD (%)	Absorbed dose (mGy/Gy)
A	0.00049	1.2	0.6
Lateral B	0.00026	4.7	0.3
E	0.00015	10.8	0.2
Distal C/D	0.00027	2.3	0.3
SOBP	1.217	0.1	
Ent. Plat.	0.899	0.1	



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Results

Equivalent Dose/Dose equivalent

Microdosimetry

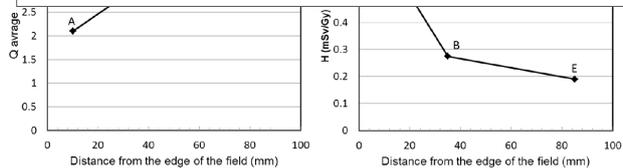
Dose equivalent by using quality factor $Q(L)$ recommended by ICRP which depends on the

Bubble detectors

Equivalent dose by using the weighting factor (w_R) based on the continuous function provided by

Are the weighting factors that we use to convert absorbed dose to equivalent dose even appropriate?

Based on this study where we take all stray components into account and determine the RBE of stray radiation out-of-field, the RBE values close to the field edge (<75mm) are not higher than 2...



Romero-Exposito et al – Med Phys 2016:
Quality factor and weighting factor are approximately equal for the range of neutron energy in radiation therapy

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Discussion and Conclusion

Limitations and future directions:

- Investigation of potential angular dependence of solid-state MicroPlus™ probe
- Secondary neutron production depends largely on the facility
- Lymphocytes of children and adults differ in radiosensitivity
- Based on GEANT4 Monte Carlo data, radiobiological investigation of RBE for neutrons with energies relevant to PT will be performed using iThemba LABS' quasi-mono-energetic neutron beam lines (up to 190 MeV)
- Additional dosimetric and radiobiological investigation of positions close to the field edge (such as position A)
- Passive double-scattering PT beam line at iThemba LABS represents an older generation of PT modalities, there is a need to repeat these measurements and compare our results with more recent active scanning PT systems

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Discussion and Conclusion

Conclusions:

- Absorbed doses out-of-field are low, so we have to put radiation risks in perspective
- While there is an exponential decrease in dose, there is an increase in neutron contribution to the total dose as a function from field edge, resulting in higher RBE values
- Although epidemiological evidence indicates that RT makes a crucial contribution to long-term survival of childhood cancer, it is vital that we ensure that any avoidable and detrimental exposures to radiation are as low as reasonably achievable
- Despite large uncertainties, data suggests that particle therapy should lead to a lower risk of secondary cancer compared to conventional X-ray techniques. Modification of treatment units with additional shielding and upgrade to active scanning PT will further reduce the secondary cancer risk in paediatric PT
- Personalised treatment strategies for children, by selecting the radiation type that is likely to have the least detrimental effects

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Thank you for your attention

Any questions???

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